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On Strong Uniform Distribution, III

By

Y. Lacroix

ISITV, Toulon, France

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Abstract. We construct infinite dimensional chains that are \mathcal{L}^1 good for almost sure convergence, which settles a question raised in this journal [7] and earlier in [6] by R. Nair. In [7] it was stated that the construction proposed in [4] was invalid. We complete the construction proposed in [4], where it is true that a piece of proof was forgotten. The technic remains the same and the completion of the proof rather natural.

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1. Introduction

A chain \mathscr{C} is a multiplicative sub-semigroup of the one of positive integers \mathbb{N} . We say a sequence $\mathbf{p} = (p_k)$ of primes generates the chain \mathscr{C} if $\mathscr{C} = \{\prod_{k=1}^{J} p_k^{\alpha_k} : \alpha_k \ge 0, J \ge 1\}$. A chain is of finite dimension (abbreviated "an FD chain") if the sequence of primes generating it is finite; else, it is infinite dimensional (abbreviated "ID chain").

Throughout, (\mathbb{T}, λ) denotes the reals mod 1 with Lebesgue measure. We shall say that a chain \mathscr{C} is good if, once one orders $\mathscr{C} = \{a_1 < a_2 < \cdots\}$, it holds that for any $f \in \mathscr{L}^1(\mathbb{T}, \lambda)$,

$$\frac{1}{k} \sum_{j=1}^{k} f(a_j x \mod 1) \to \int_0^1 f d\lambda \quad \text{for } \lambda - \text{a.e. } x \in \mathbb{T}.$$
 (1)

Else we say \mathscr{C} is a bad chain.

Nair [6, 7] asks twice for the existence of a good ID chain. He proves in [6] that an FD chain is always good, using the multidimensional ergodic theorem ([1], [3]) for \mathbb{N}^d -actions. It is also known ([2], [5]) that taking all the primes generates a bad ID chain, with counter-examples to almost sure convergence in (1) for some $f \in \mathscr{L}^{\infty}(\mathbb{T}, \lambda)$.

In [7] one can find on page 342, a few lines after formula [7, (1.3)], the following (we have adapted the reference numbering):

"In [6] the author raised the question whether the condition in his theorem that the set p_1, \ldots, p_d be finite is necessary. This question remains open despite the invalid construction of a putative such infinite set in [4]." A PhD student of the author, Vincent Chaumoître, has, following [7], made a precise rereading of [4], and pointed out to the author the precise spot where [4] was uncomplete. In fact, the displayed formula between [4, (5)] and [4, (P6)] is only correct in the FD case, and hence the reduction of [4, (P2)] to [4, (P6)] is not valid in the ID case. The place where this omission occurs in [4] corresponds to the part of the paper devoted to show how the result from [6] could be recovered using Tempelman's ergodic theorem, giving a simple proof that an FD chain is good [4, Corollary 1] (cf. [6] for a different approach).

The present note completes the gap, using exactly the same ideas as in [4] to produce a complete proof of the following:

Theorem 1. There exist good ID chains.

We will present the completed argumentation omitting the ergodic theoretic preliminaries for which we refer to [4]. Let us mention by the way that the construction of bad ID chains in [4] is perfectly valid.

2. Good ID Chains!

2.1. Semigroup actions and Tempelman's conditions. We shall make essential use of the following abelian semi-group endowed with its counting measure (for a subset T, #T denotes its cardinality):

$$l_0(\mathbb{N}) := \{ (\alpha_i)_{i \ge 1} : \alpha_i \in \mathbb{N}, \exists j, i > j \Rightarrow \alpha_i = 0 \}.$$

Given an integer q, we identify \mathbb{N}^q with a sub-semigroup of \mathbb{N}^{q+1} and the later with one of $l_0(\mathbb{N})$ via the following embeddings;

For an integer p, we define $T_p: X \to X$ by $T_p x = px \mod 1$. It is standard that the system $(\mathbb{T}, \lambda, T_p)$ is metrically conjugated to a one sided Bernoulli shift which is ergodic [3]. It is standard also that $T_p \circ T_q = T_q \circ T_p = T_{pq}$, whence given a sequence of integers $(p_k)_{k \ge 1}$, we define an action Γ of $l_0(\mathbb{N})$ on $(\mathbb{T}, \lambda, T_p)$ by

$$\Gamma((\alpha_k)) := \bigcirc_{k \ge 1} T_{p_k}^{\alpha_k},$$

where $T_{p_k}^0$ is the identity map. Given any sequence (T(n)) of subsets of $l_0(\mathbb{N})$, we will consider the following multiple condition (P);

$$\begin{cases} (P1): 0 < \#T(n) < \infty, \\ (P2): \forall \gamma \in l_0(\mathbb{N}), \lim_n \#((T(n) + \gamma)\Delta T(n))/\#T(n) = 0, \\ (P3): T(n) \subset T(n+1), n \ge 1, \\ (P4): \exists K_1 < \infty, \forall N, \lim_n \#(T(N) + T(n))/\#T(n) \leqslant K_1, \\ (P5): \exists K_2 < \infty, \forall n, \#(T(n) - T(n))/\#T(n) \leqslant K_2, \end{cases}$$
(P)

where $T(n) - T(n) := \{ \alpha \in l_0(\mathbb{N}) : \exists \gamma \in T(n), \ \alpha + \gamma \in T(n) \}.$

Indeed, if (T(n)) satisfies (P), by Tempelman's Ergodic Theorem [3, p. 224], for any $f \in \mathcal{L}^1(\mu)$, the averages

$$\frac{1}{\#T(n)} \sum_{\alpha \in T(n)} f \circ \Gamma(\alpha)(x) \tag{2}$$

converge μ -a.e.. Moreover, the limit in (2) is, following the argument in [3, p. 206], or [8, Theorem 6.3.1], a Γ -invariant function, whence T_p -invariant for some $p \ge 2$, whence, by ergodicity of T_p , it is constant and must coincide with the expectation of f, as is standard.

When (2) holds we say that (T(n)) is \mathscr{L}^1 good universal (for $l_0(\mathbb{N})$ actions). We shall see in the next section that for some choice of (T(n)) averages in (1) and (2) coincide, therefore the condition (P) will be used to produce a good ID chain.

The same remarks can be stated for \mathbb{N}^q -actions.

2.2. Condition (*P*) for a pairwise coprime generated chain and the FD case. Let $p_1 < p_2 < \cdots$ be pairwise coprime integers generating the chain $\mathscr{C} = \{a_1 < a_2 < \cdots\}$. For given $q \ge 1$ and $n \in [1, \infty[$, we let

$$\begin{cases} T_q(n) := \{ (\alpha_1, \dots, \alpha_q) \in \mathbb{N}^q : \sum_{i=1}^q \alpha_i \log p_i \leq \log n \}, \\ T(n) := \{ \alpha = (\alpha_i) \in l_0(\mathbb{N}) : \sum_{i \ge 1} \alpha_i \log p_i \leq \log n \}. \end{cases}$$
(3)

We notice that sequences (1) and (2) coincide for this choice of (T(n)) $((T_q(n))$ in the FD case). For given $q \ge 1$, both $(T_q(n))$ and (T(n)) satisfy (P1), (P3), and (P5) with $K_2 = 1$, because $T(n) - T(n) \subset T(n)$.

Moreover, since $T(n) \subset T(N) + T(n)$ (resp. $T_q(n) \subset T_q(N) + T_q(n)$), we have

$$\#(T(N) + T(n)) \leqslant \#T(n) + \sum_{\gamma \in T(N)} \#((\gamma + T(n)) \setminus T(n))$$

(resp. $\#(T_q(N) + T_q(n)) \leqslant \#T_q(n) + \sum_{\gamma \in T_q(N)} \#((\gamma + T_q(n)) \setminus T_q(n))),$

so we see that (P2) implies (P4) with $K_1 = 1$. Hence we deduce

Lemma 1. The sequence (T(n)) (resp. $(T_q(n))$) defined by (3) is \mathscr{L}^1 good universal for $l_0(\mathbb{N})$ (resp. \mathbb{N}^q) actions whenever it satisfies (P2).

Given $\gamma = (\gamma_i) \in l_0(\mathbb{N})$ (resp. $\gamma \in \mathbb{N}^q$), we have

$$\#((T(n)+\gamma)\Delta T(n)) = \#(T(n)\backslash(T(n)+\gamma)) + \#((T(n)+\gamma)\backslash T(n))$$

$$(resp. \ \#((T_q(n)+\gamma)\Delta T_q(n)) = \#(T_q(n)\backslash(T_q(n)+\gamma)) + \#((T_q(n)+\gamma)\backslash T_q(n))).$$

$$(4)$$

An elementary computation [5] shows that

$$\#T_q(n) \sim \frac{(\log n)^q}{q! \prod_{i=1}^q \log p_i},$$
(5)

where \sim means that the ratio of its left and right hand sides goes to 1 as *n* goes to ∞ .

For any $\gamma \in \mathbb{N}^q$, $T_q(n) \setminus (T_q(n) + \gamma) = \{\alpha \in T_q(n) : \exists i : \alpha_i < \gamma_i\}$, so if we set

$$B_q(n,i) = \{ \alpha \in T_q(n) : \alpha_i < \gamma_i \} \text{ and} T_q^{(i)}(n) = \{ (\alpha_1, \dots, \alpha_{i-1}, \alpha_{i+1}, \dots, \alpha_q) \in \mathbb{N}^{q-1} : \sum_{j \neq i \atop j \neq i} \alpha_j \log p_j \leq \log n \},$$

then one observes that

$$\#(T_q(n)\setminus(T_q(n)+\gamma))\leqslant \sum_{i=1}^q \#B_q(n,i)\leqslant \sum_{i=1}^q \gamma_i \#T_q^{(i)}(n),$$

whence by (5) we get at once that $\lim_n \#(T_q(n) \setminus T_q(n) + \gamma)) / \#T_q(n) = 0$. Secondly, we have that

$$\begin{array}{ll} (T_q(n)+\gamma)\backslash T_q(n) &= \{\alpha+\gamma: \sum_i \alpha_i \log p_i \leqslant \log n \text{ and } \sum_i (\alpha_i+\gamma_i) \log p_i > \log n \} \\ &\subseteq \{\beta \in \mathbb{N}^q: \log n < \sum_i \beta_i \log p_i \leqslant \log (n \times n(\gamma))\}, \end{array}$$

where $n(\gamma) = \prod_i p_i^{\gamma_i}$. So since $T_q(n) \subseteq T_q(n \times n(\gamma))$, we deduce that

$$\frac{\#((T_q(n)+\gamma)\backslash T_q(n))}{\#T_q(n)} \leqslant \frac{\#T_q(n\times n(\gamma)) - \#T_q(n)}{\#T_q(n)} \to_n 0 \text{ by } (5),$$

hence with (4), (P2) is satisfied for the \mathbb{N}^q case, and as a consequence of our study of the FD case we obtain

Corollary 1. ([6, Theorem 1]) Any FD chain satisfies (P2), whence is good.

2.3. The inductive step for constructing a good ID chain. We set for $\gamma \in l_0(\mathbb{N})$ (resp. $\gamma \in \mathbb{N}^q$)

$$\partial_{\gamma}(T(n)) = (T(n) + \gamma)\Delta T(n) \text{ (resp. } \partial_{\gamma}(T_q(n)) = (T_q(n) + \gamma)\Delta T_q(n))$$

We know by Lemma 1 that (P2) is enough for an ID coprime generated chain to be good. And we also know by Corollary 1 that (P2) holds in the FD case. The idea to reach the ID case is to show that given $p_1 < \cdots < p_q$, it is possible to choose $p_{q+1} > p_q$ such that "small" increase occurs in the quotients (P2) uniformly in γ belonging to some finite subset $\langle q \rangle$ of $l_0(\mathbb{N})$, where the increasing union over q of these subsets cover $l_0(\mathbb{N})$. This is done in the present section and summarized in Lemma 2 below.

If $q(n) := \max\{q : p_q \le n\}$, then $T(n) = T_{q(n)}(n)$. Our argumentation shall strongly rely on this equality, on a careful use of (5) and the second estimate (cf. [5] where it is proved for the first q primes but carries out also in the case we need here)

$$\#((T_q(n) + \bar{q}) \setminus T_q(n)) \sim_{x \to \infty} \frac{\log(p_1^q \dots p_q^q)}{(q-1)! \prod_{i=1}^q \log p_i} (\log x)^{q-1}, \tag{6}$$

where $\bar{q} = (q, q, \ldots, q)$.

We assume q > 1 and that $p_1 < \cdots < p_q$ are pairwise coprime. We define

$$\langle q \rangle := \{ \gamma = (\gamma_i) \in \mathbb{N}^q : \gamma_i \leqslant q, \ 1 \leqslant i \leqslant q \}$$

Given arbitrary $\varepsilon_q > 0$, by (P2) for the FD case (Corollary 1), there exists an $N(\varepsilon_q)$ such that

$$x \ge N(\varepsilon_q) \Rightarrow \forall \gamma \in \langle q \rangle, \ \# \partial_{\gamma}(T_q(x)) / \# T_q(x) < \frac{\varepsilon_q}{2}.$$
(7)

We now let $p_{q+1} > p_q$ denote an integer coprime to the previous numbers p_k , to be specified later on. We assume that $p_{q+1} \ge N(\varepsilon_q)$ ($N(\varepsilon_q)$ comes in (7)). Then if

 $k \geqslant 1$ and $p_{q+1}^k \leqslant n < p_{q+1}^{k+1}$, we have $T_{q+1}(n) = \sum_{i=0}^k (\mathbb{N}^q \times \{i\}) \cap T_{q+1}(n) \ \ (\text{a disjoint union}).$

Let us put $T_{q+1}(n,i) := (\mathbb{N}^q \times \{i\}) \cap T_{q+1}(n), \ 0 \le i \le k = \left\lfloor \frac{\log n}{\log p_{q+1}} \right\rfloor$. Then we observe that $(0 \le i \le k)$

$$(\alpha_1,\ldots,\alpha_q,i) \in T_{q+1}(n,i) \Leftrightarrow (\alpha_1,\ldots,\alpha_q) \in T_q\left(\frac{n}{p_{q+1}^i}\right)$$

and moreover if $\gamma \in \langle q \rangle \subset \mathbb{N}^q$, then $\gamma_{q+1} = 0$, and therefore $\partial_{\gamma}(T_{q+1}(n)) = \sum_{i=0}^k \partial_{\gamma}(T_{q+1}(n,i))$ (disjoint union) where $\partial_{\gamma}(T_{q+1}(n,i)) = (T_{q+1}(n,i) + \gamma) \Delta T_{q+1}(n,i)$. Then for such γ ,

$$(\alpha_1,\ldots,\alpha_q,i)+\gamma\in\partial_{\gamma}(T_{q+1}(n))\Leftrightarrow(\alpha_1,\ldots,\alpha_q)+\gamma\in\partial_{\gamma}\left(T_q\left(\frac{n}{p_{q+1}^i}\right)\right).$$

We now define $(\gamma \leq \gamma') \Leftrightarrow (\forall i, \gamma_i \leq \gamma'_i)$. An easy observation is $\gamma \leq \gamma' \Rightarrow \#\partial_{\gamma}(T_q(n)) \leq \#\partial_{\gamma'}(T_q(n)).$

Hence with the above we get

$$\gamma \in \langle q \rangle \Longrightarrow \begin{cases} \#T_{q+1}(n) = \sum_{i=0}^{k} \#T_{q}\left(\frac{n}{p_{q+1}^{i}}\right), \\ \#\partial_{\gamma}(T_{q+1}(n)) \leqslant \#\partial_{\bar{q}}(T_{q+1}(n)) = \sum_{i=0}^{k} \#\partial_{\bar{q}}\left(T_{q}\left(\frac{n}{p_{q+1}^{i}}\right)\right). \end{cases}$$

Therefore as soon as $n, p_{q+1} \ge N(\varepsilon_q)$, if $k = \lfloor \frac{\log n}{\log p_{q+1}} \rfloor$, we have, using (7):

$$\begin{split} k &= 0 \text{ (i.e. } N(\varepsilon_q) \leqslant n < p_{q+1}) \Rightarrow T_{q+1}(n) = T_q(n) \\ &\Rightarrow \forall \gamma \in \langle q \rangle, \ \# \partial_{\gamma}(T_{q+1}(n)) / \# T_{q+1}(n) < \frac{\varepsilon_q}{2}, \end{split}$$

and

$$\begin{split} k \neq 0 \Rightarrow \forall \gamma \in \langle q \rangle, \\ \# \partial_{\gamma}(T_{q+1}(n)) / \# T_{q+1}(n) &\leq \# \partial_{\bar{q}}(T_{q+1}(n)) / \# T_{q+1}(n) \\ &\leq \frac{\sum_{i=0}^{k-1} \# \partial_{\bar{q}}\left(T_q\left(\frac{n}{p_{q+1}^i}\right)\right)}{\sum_{i=0}^{k-1} \# T_q\left(\frac{n}{p_{q+1}^i}\right)} + \# \partial_{\bar{q}}\left(T_q\left(\frac{n}{p_{q+1}^k}\right)\right) / \# T_q\left(\frac{n}{p_{q+1}^{k-1}}\right) \\ &< \frac{\varepsilon_q}{2} + A\left(p_{q+1}, \frac{n}{p_{q+1}^k}\right), \end{split}$$

where $A(p_{q+1}, x) = #\partial_{\bar{q}}(T_q(x))/T_q(p_{q+1}x) \ (x \ge 1)$. Next we can write

$$A(p_{q+1}, x) = \frac{\#((T_q(x) + \bar{q}) \setminus T_q(x))}{\#T_q(p_{q+1}x)} + \frac{\#(T_q(x) \setminus (T_q(x) + \bar{q}))}{\#T_q(p_{q+1}x)}.$$

We firstly can estimate as in Section 2.2 that

$$\#(T_q(x) \setminus (T_q(x) + \bar{q})) \leq q \sum_{i=1}^q \#T_q^{(i)}(x) \leq q \sum_{i=1}^q \#T_q^{(i)}(p_{q+1}x),$$

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which makes sure that, using (5), $\#(T_q(x)\setminus(T_q(x)+\bar{q}))/\#T_q(p_{q+1}x)\to 0$ as $p_{q+1}\to +\infty$, uniformly in $x \ge 1$.

Secondly, by (5,6), there exist two positive constants C_1 and C_2 , depending only on q, such that uniformly in $x \ge 1$ and p_{q+1} ,

$$\begin{cases} \#((T_q(x) + \bar{q}) \setminus T_q(x)) \leq C_1 \log(x)^{q-1}, \text{ by } (6) \\ \#T_q(p_{q+1}x) \geq C_2 \log(p_{q+1}x)^q, \text{ by } (5) \end{cases}$$

whence there exists some positive constant *C* depending only on p_1, \ldots, p_q such that uniformly in $x \ge 1$, for any p_{q+1} ,

$$\frac{\#((T_q(x) + \bar{q}) \setminus T_q(x))}{\#T_q(p_{q+1}x)} \leqslant \frac{C}{\log p_{q+1}}.$$

Finally we may select $p_{q+1} \ge N(\varepsilon_q)$ so large that uniformly in $x \ge 1$,

$$A(p_{q+1}, x) < \frac{1}{2}\varepsilon_q.$$

For such choice of p_{q+1} , we get that as soon as $n \ge N(\varepsilon_q)$, for any $\gamma \in \langle q \rangle$,

$$\#\partial_{\gamma}(T_{q+1}(n))/\#T_{q+1}(n) < \varepsilon_q, \tag{8}$$

we have proved:

Lemma 2. Given q > 1, arbitrary coprime $p_1 < \cdots < p_q$, arbitrary $\varepsilon_q > 0$, there exists an integer $N(\varepsilon_q)$ and a $p_{q+1} \ge N(\varepsilon_q)$ which is coprime to the p_i 's $(1 \le i \le q)$, such that for any $\gamma \in \langle q \rangle$, if $n \ge N(\varepsilon_q)$, (8) holds.

2.4. The inductive construction of good ID chains. We fix a sequence $(\varepsilon_q)_{q \ge 1}$ of positive real numbers tending to 0. Next we select arbitrary $p_1 > 0$. Then a repeated inductive use of Lemma 2 produces a sequence $p_1 < p_2 < p_3 < \cdots < p_{q+1} < \cdots$ of pairwise coprime integers, and another sequence $N(\varepsilon_1) \le N(\varepsilon_2) \le \cdots \le N(\varepsilon_q) \le \cdots$ of integers (we can choose them increasing), along with the corresponding properties in (8).

We then define, for each *n*, the set T(n) as in (3). As before, $T(n) = T_{q(n)}(n)$, where $p_{q(n)} \leq n < p_{q(n)+1}$: then if $n > p_2$ (that is $q(n) \ge 2$), and $q \leq q(n) - 1$,

$$\gamma \in \langle q \rangle \subset \langle q(n) - 1 \rangle \Rightarrow \# \partial_{\gamma}(T_{q(n)}(n)) / \# T_{q(n)}(n) < \varepsilon_{q(n)-1},$$

because $p_{q(n)}$ exceeds $N(\varepsilon_{q(n)-1})$.

Now we fix $\gamma \in l_0(\mathbb{N})$ and select $q \ge 2$ such that $\gamma \in \langle q \rangle$. Then if n_0 satisfies $q(n_0) - 1 \ge q$, we obtain that for any $n \ge n_0$,

$$\#\partial_{\gamma}(T(n))/\#T(n) = \#\partial_{\gamma}(T_{q(n)}(n))/\#T_{q(n)}(n) < \varepsilon_{q(n)-1},$$

by our inductive construction using Lemma 2. Since $\varepsilon_q \to 0$ and $q(n) \to \infty$, this proves (P2). By Lemma 1, we have proved Theorem 1.

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Author's address: ISITV, Avenue G. Pompidou, B.P. 56, 83162 La Valette Cedex, France, e-mail: yves.lacroix@univ-tln.fr