

On Strong Uniform Distribution, III

By

Y. Lacroix

ISITV, Toulon, France

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Abstract. We construct infinite dimensional chains that are $\mathcal{L}^1$ good for almost sure convergence, which settles a question raised in this journal [7] and earlier in [6] by R. Nair. In [7] it was stated that the construction proposed in [4] was invalid. We complete the construction proposed in [4], where it is true that a piece of proof was forgotten. The technic remains the same and the completion of the proof rather natural.

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1. Introduction

A chain $\mathcal{C}$ is a multiplicative sub-semigroup of the one of positive integers $\mathbb{N}$. We say a sequence $\mathbf{p} = (p_k)$ of primes generates the chain $\mathcal{C}$ if $\mathcal{C} = \{\prod_{k=1}^J p_k^{\alpha_k} : \alpha_k \geq 0, J \geq 1\}$. A chain is of finite dimension (abbreviated “an FD chain”) if the sequence of primes generating it is finite; else, it is infinite dimensional (abbreviated “ID chain”).

Throughout, $(\mathbb{T}, \lambda)$ denotes the reals mod 1 with Lebesgue measure. We shall say that a chain $\mathcal{C}$ is good if, once one orders $\mathcal{C} = \{a_1 < a_2 < \dots\}$, it holds that for any $f \in \mathcal{L}^1(\mathbb{T}, \lambda)$,

$$\frac{1}{k} \sum_{j=1}^k f(a_j x \bmod 1) \rightarrow \int_0^1 f d\lambda \quad \text{for } \lambda - \text{a.e. } x \in \mathbb{T}. \quad (1)$$

Else we say $\mathcal{C}$ is a bad chain.

Nair [6, 7] asks twice for the existence of a good ID chain. He proves in [6] that an FD chain is always good, using the multidimensional ergodic theorem ([1], [3]) for $\mathbb{N}^d$ -actions. It is also known ([2], [5]) that taking all the primes generates a bad ID chain, with counter-examples to almost sure convergence in (1) for some $f \in \mathcal{L}^\infty(\mathbb{T}, \lambda)$.

In [7] one can find on page 342, a few lines after formula [7, (1.3)], the following (we have adapted the reference numbering):

“In [6] the author raised the question whether the condition in his theorem that the set $p_1, \dots, p_d$ be finite is necessary. This question remains open despite the invalid construction of a putative such infinite set in [4].”

A PhD student of the author, Vincent Chaumoître, has, following [7], made a precise rereading of [4], and pointed out to the author the precise spot where [4] was uncomplete. In fact, the displayed formula between [4, (5)] and [4, (P6)] is only correct in the FD case, and hence the reduction of [4, (P2)] to [4, (P6)] is not valid in the ID case. The place where this omission occurs in [4] corresponds to the part of the paper devoted to show how the result from [6] could be recovered using Tempelman's ergodic theorem, giving a simple proof that an FD chain is good [4, Corollary 1] (cf. [6] for a different approach).

The present note completes the gap, using exactly the same ideas as in [4] to produce a complete proof of the following:

Theorem 1. *There exist good ID chains.*

We will present the completed argumentation omitting the ergodic theoretic preliminaries for which we refer to [4]. Let us mention by the way that the construction of bad ID chains in [4] is perfectly valid.

2. Good ID Chains!

2.1. Semigroup actions and Tempelman's conditions. We shall make essential use of the following abelian semi-group endowed with its counting measure (for a subset T , $\#T$ denotes its cardinality):

$$l_0(\mathbb{N}) := \{(\alpha_i)_{i \geq 1} : \alpha_i \in \mathbb{N}, \exists j, i > j \Rightarrow \alpha_i = 0\}.$$

Given an integer q , we identify $\mathbb{N}^q$ with a sub-semigroup of $\mathbb{N}^{q+1}$ and the later with one of $l_0(\mathbb{N})$ via the following embeddings;

$$\begin{array}{ccccc} \mathbb{N}^q & \hookrightarrow & \mathbb{N}^{q+1} & \hookrightarrow & l_0(\mathbb{N}) \\ (\alpha_1, \dots, \alpha_q) & \mapsto & (\alpha_1, \dots, \alpha_q, 0) & \mapsto & (\alpha_1, \dots, \alpha_q, 0, 0, \dots). \end{array}$$

For an integer p , we define $T_p : X \rightarrow X$ by $T_p x = px \bmod 1$. It is standard that the system $(\mathbb{T}, \lambda, T_p)$ is metrically conjugated to a one sided Bernoulli shift which is ergodic [3]. It is standard also that $T_p \circ T_q = T_q \circ T_p = T_{pq}$, whence given a sequence of integers $(p_k)_{k \geq 1}$, we define an action Γ of $l_0(\mathbb{N})$ on $(\mathbb{T}, \lambda, T_p)$ by

$$\Gamma((\alpha_k)) := \bigcirc_{k \geq 1} T_{p_k}^{\alpha_k},$$

where $T_{p_k}^0$ is the identity map. Given any sequence $(T(n))$ of subsets of $l_0(\mathbb{N})$, we will consider the following multiple condition (P);

$$\left\{ \begin{array}{l} (P1) : 0 < \#T(n) < \infty, \\ (P2) : \forall \gamma \in l_0(\mathbb{N}), \lim_n \#((T(n) + \gamma) \Delta T(n)) / \#T(n) = 0, \\ (P3) : T(n) \subset T(n+1), n \geq 1, \\ (P4) : \exists K_1 < \infty, \forall N, \lim_n \#(T(N) + T(n)) / \#T(n) \leq K_1, \\ (P5) : \exists K_2 < \infty, \forall n, \#(T(n) - T(n)) / \#T(n) \leq K_2, \end{array} \right. \quad (P)$$

where $T(n) - T(n) := \{\alpha \in l_0(\mathbb{N}) : \exists \gamma \in T(n), \alpha + \gamma \in T(n)\}.$

Indeed, if $(T(n))$ satisfies (P) , by Tempelman's Ergodic Theorem [3, p. 224], for any $f \in \mathcal{L}^1(\mu)$, the averages

$$\frac{1}{\#T(n)} \sum_{\alpha \in T(n)} f \circ \Gamma(\alpha)(x) \quad (2)$$

converge μ -a.e.. Moreover, the limit in (2) is, following the argument in [3, p. 206], or [8, Theorem 6.3.1], a Γ -invariant function, whence T_p -invariant for some $p \geq 2$, whence, by ergodicity of T_p , it is constant and must coincide with the expectation of f , as is standard.

When (2) holds we say that $(T(n))$ is $\mathcal{L}^1$ good universal (for $l_0(\mathbb{N})$ actions). We shall see in the next section that for some choice of $(T(n))$ averages in (1) and (2) coincide, therefore the condition (P) will be used to produce a good ID chain.

The same remarks can be stated for $\mathbb{N}^q$ -actions.

2.2. Condition (P) for a pairwise coprime generated chain and the FD case.

Let $p_1 < p_2 < \dots$ be pairwise coprime integers generating the chain $\mathcal{C} = \{a_1 < a_2 < \dots\}$. For given $q \geq 1$ and $n \in [1, \infty[$, we let

$$\begin{cases} T_q(n) := \{(\alpha_1, \dots, \alpha_q) \in \mathbb{N}^q : \sum_{i=1}^q \alpha_i \log p_i \leq \log n\}, \\ T(n) := \{\alpha = (\alpha_i) \in l_0(\mathbb{N}) : \sum_{i \geq 1} \alpha_i \log p_i \leq \log n\}. \end{cases} \quad (3)$$

We notice that sequences (1) and (2) coincide for this choice of $(T(n))$ ($(T_q(n))$ in the FD case). For given $q \geq 1$, both $(T_q(n))$ and $(T(n))$ satisfy $(P1)$, $(P3)$, and $(P5)$ with $K_2 = 1$, because $T(n) - T(n) \subset T(n)$.

Moreover, since $T(n) \subset T(N) + T(n)$ (resp. $T_q(n) \subset T_q(N) + T_q(n)$), we have

$$\begin{aligned} \#(T(N) + T(n)) &\leq \#T(n) + \sum_{\gamma \in T(N)} \#((\gamma + T(n)) \setminus T(n)) \\ (\text{resp. } \#(T_q(N) + T_q(n)) &\leq \#T_q(n) + \sum_{\gamma \in T_q(N)} \#((\gamma + T_q(n)) \setminus T_q(n))), \end{aligned}$$

so we see that $(P2)$ implies $(P4)$ with $K_1 = 1$. Hence we deduce

Lemma 1. *The sequence $(T(n))$ (resp. $(T_q(n))$) defined by (3) is $\mathcal{L}^1$ good universal for $l_0(\mathbb{N})$ (resp. $\mathbb{N}^q$) actions whenever it satisfies $(P2)$.*

Given $\gamma = (\gamma_i) \in l_0(\mathbb{N})$ (resp. $\gamma \in \mathbb{N}^q$), we have

$$\begin{aligned} \#((T(n) + \gamma) \Delta T(n)) &= \#(T(n) \setminus (T(n) + \gamma)) + \#((T(n) + \gamma) \setminus T(n)) \\ (\text{resp. } \#((T_q(n) + \gamma) \Delta T_q(n)) &= \#(T_q(n) \setminus (T_q(n) + \gamma)) + \#((T_q(n) + \gamma) \setminus T_q(n))). \end{aligned} \quad (4)$$

An elementary computation [5] shows that

$$\#T_q(n) \sim \frac{(\log n)^q}{q! \prod_{i=1}^q \log p_i}, \quad (5)$$

where $\sim$ means that the ratio of its left and right hand sides goes to 1 as n goes to ∞ .

For any $\gamma \in \mathbb{N}^q$, $T_q(n) \setminus (T_q(n) + \gamma) = \{\alpha \in T_q(n) : \exists i : \alpha_i < \gamma_i\}$, so if we set

$$\begin{aligned} B_q(n, i) &= \{\alpha \in T_q(n) : \alpha_i < \gamma_i\} \quad \text{and} \\ T_q^{(i)}(n) &= \{(\alpha_1, \dots, \alpha_{i-1}, \alpha_{i+1}, \dots, \alpha_q) \in \mathbb{N}^{q-1} : \sum_{j \neq i}^q \alpha_j \log p_j \leq \log n\}, \end{aligned}$$

then one observes that

$$\#(T_q(n) \setminus (T_q(n) + \gamma)) \leq \sum_{i=1}^q \#B_q(n, i) \leq \sum_{i=1}^q \gamma_i \#T_q^{(i)}(n),$$

whence by (5) we get at once that $\lim_n \#(T_q(n) \setminus (T_q(n) + \gamma)) / \#T_q(n) = 0$. Secondly, we have that

$$\begin{aligned} (T_q(n) + \gamma) \setminus T_q(n) &= \{\alpha + \gamma : \sum_i \alpha_i \log p_i \leq \log n \text{ and } \sum_i (\alpha_i + \gamma_i) \log p_i > \log n\} \\ &\subseteq \{\beta \in \mathbb{N}^q : \log n < \sum_i \beta_i \log p_i \leq \log(n \times n(\gamma))\}, \end{aligned}$$

where $n(\gamma) = \prod_i p_i^{\gamma_i}$. So since $T_q(n) \subseteq T_q(n \times n(\gamma))$, we deduce that

$$\frac{\#((T_q(n) + \gamma) \setminus T_q(n))}{\#T_q(n)} \leq \frac{\#T_q(n \times n(\gamma)) - \#T_q(n)}{\#T_q(n)} \rightarrow_n 0 \text{ by (5),}$$

hence with (4), (P2) is satisfied for the $\mathbb{N}^q$ case, and as a consequence of our study of the FD case we obtain

Corollary 1. ([6, Theorem 1]) *Any FD chain satisfies (P2), whence is good.*

2.3. The inductive step for constructing a good ID chain. We set for $\gamma \in l_0(\mathbb{N})$ (resp. $\gamma \in \mathbb{N}^q$)

$$\partial_\gamma(T(n)) = (T(n) + \gamma)\Delta T(n) \text{ (resp. } \partial_\gamma(T_q(n)) = (T_q(n) + \gamma)\Delta T_q(n)).$$

We know by Lemma 1 that (P2) is enough for an ID coprime generated chain to be good. And we also know by Corollary 1 that (P2) holds in the FD case. The idea to reach the ID case is to show that given $p_1 < \dots < p_q$, it is possible to choose $p_{q+1} > p_q$ such that “small” increase occurs in the quotients (P2) uniformly in γ belonging to some finite subset $\langle q \rangle$ of $l_0(\mathbb{N})$, where the increasing union over q of these subsets cover $l_0(\mathbb{N})$. This is done in the present section and summarized in Lemma 2 below.

If $q(n) := \max\{q : p_q \leq n\}$, then $T(n) = T_{q(n)}(n)$. Our argumentation shall strongly rely on this equality, on a careful use of (5) and the second estimate (cf. [5] where it is proved for the first q primes but carries out also in the case we need here)

$$\#((T_q(n) + \bar{q}) \setminus T_q(n)) \sim_{x \rightarrow \infty} \frac{\log(p_1^q \dots p_q^q)}{(q-1)! \prod_{i=1}^q \log p_i} (\log x)^{q-1}, \quad (6)$$

where $\bar{q} = (q, q, \dots, q)$.

We assume $q > 1$ and that $p_1 < \dots < p_q$ are pairwise coprime. We define

$$\langle q \rangle := \{\gamma = (\gamma_i) \in \mathbb{N}^q : \gamma_i \leq q, 1 \leq i \leq q\}.$$

Given arbitrary $\varepsilon_q > 0$, by (P2) for the FD case (Corollary 1), there exists an $N(\varepsilon_q)$ such that

$$x \geq N(\varepsilon_q) \Rightarrow \forall \gamma \in \langle q \rangle, \# \partial_\gamma(T_q(x)) / \#T_q(x) < \frac{\varepsilon_q}{2}. \quad (7)$$

We now let $p_{q+1} > p_q$ denote an integer coprime to the previous numbers p_k , to be specified later on. We assume that $p_{q+1} \geq N(\varepsilon_q)$ ($N(\varepsilon_q)$ comes in (7)). Then if

$k \geq 1$ and $p_{q+1}^k \leq n < p_{q+1}^{k+1}$, we have

$$T_{q+1}(n) = \sum_{i=0}^k (\mathbb{N}^q \times \{i\}) \cap T_{q+1}(n) \quad (\text{a disjoint union}).$$

Let us put $T_{q+1}(n, i) := (\mathbb{N}^q \times \{i\}) \cap T_{q+1}(n)$, $0 \leq i \leq k = \left\lfloor \frac{\log n}{\log p_{q+1}} \right\rfloor$. Then we observe that ($0 \leq i \leq k$)

$$(\alpha_1, \dots, \alpha_q, i) \in T_{q+1}(n, i) \Leftrightarrow (\alpha_1, \dots, \alpha_q) \in T_q\left(\frac{n}{p_{q+1}^i}\right),$$

and moreover if $\gamma \in \langle q \rangle \subset \mathbb{N}^q$, then $\gamma_{q+1} = 0$, and therefore $\partial_\gamma(T_{q+1}(n)) = \sum_{i=0}^k \partial_\gamma(T_{q+1}(n, i))$ (disjoint union) where $\partial_\gamma(T_{q+1}(n, i)) = (T_{q+1}(n, i) + \gamma) \Delta T_{q+1}(n, i)$. Then for such γ ,

$$(\alpha_1, \dots, \alpha_q, i) + \gamma \in \partial_\gamma(T_{q+1}(n)) \Leftrightarrow (\alpha_1, \dots, \alpha_q) + \gamma \in \partial_\gamma\left(T_q\left(\frac{n}{p_{q+1}^i}\right)\right).$$

We now define $(\gamma \leq \gamma') \Leftrightarrow (\forall i, \gamma_i \leq \gamma'_i)$. An easy observation is

$$\gamma \leq \gamma' \Rightarrow \#\partial_\gamma(T_q(n)) \leq \#\partial_{\gamma'}(T_q(n)).$$

Hence with the above we get

$$\gamma \in \langle q \rangle \Rightarrow \begin{cases} \#T_{q+1}(n) = \sum_{i=0}^k \#T_q\left(\frac{n}{p_{q+1}^i}\right), \\ \#\partial_\gamma(T_{q+1}(n)) \leq \#\partial_q(T_{q+1}(n)) = \sum_{i=0}^k \#\partial_q\left(T_q\left(\frac{n}{p_{q+1}^i}\right)\right). \end{cases}$$

Therefore as soon as $n, p_{q+1} \geq N(\varepsilon_q)$, if $k = \left\lfloor \frac{\log n}{\log p_{q+1}} \right\rfloor$, we have, using (7):

$$\begin{aligned} k = 0 \text{ (i.e. } N(\varepsilon_q) \leq n < p_{q+1}) &\Rightarrow T_{q+1}(n) = T_q(n) \\ &\Rightarrow \forall \gamma \in \langle q \rangle, \#\partial_\gamma(T_{q+1}(n))/\#T_{q+1}(n) < \frac{\varepsilon_q}{2}, \end{aligned}$$

and

$$\begin{aligned} k \neq 0 &\Rightarrow \forall \gamma \in \langle q \rangle, \\ \#\partial_\gamma(T_{q+1}(n))/\#T_{q+1}(n) &\leq \#\partial_q(T_{q+1}(n))/\#T_{q+1}(n) \\ &\leq \frac{\sum_{i=0}^{k-1} \#\partial_q\left(T_q\left(\frac{n}{p_{q+1}^i}\right)\right)}{\sum_{i=0}^{k-1} \#T_q\left(\frac{n}{p_{q+1}^i}\right)} + \#\partial_q\left(T_q\left(\frac{n}{p_{q+1}^k}\right)\right)/\#T_q\left(\frac{n}{p_{q+1}^k}\right) \\ &< \frac{\varepsilon_q}{2} + A\left(p_{q+1}, \frac{n}{p_{q+1}^k}\right), \end{aligned}$$

where $A(p_{q+1}, x) = \#\partial_q(T_q(x))/T_q(p_{q+1}x)$ ($x \geq 1$).

Next we can write

$$A(p_{q+1}, x) = \frac{\#((T_q(x) + \bar{q}) \setminus T_q(x))}{\#T_q(p_{q+1}x)} + \frac{\#(T_q(x) \setminus (T_q(x) + \bar{q}))}{\#T_q(p_{q+1}x)}.$$

We firstly can estimate as in Section 2.2 that

$$\#(T_q(x) \setminus (T_q(x) + \bar{q})) \leq q \sum_{i=1}^q \#T_q^{(i)}(x) \leq q \sum_{i=1}^q \#T_q^{(i)}(p_{q+1}x),$$

which makes sure that, using (5), $\#(T_q(x) \setminus (T_q(x) + \bar{q})) / \#T_q(p_{q+1}x) \rightarrow 0$ as $p_{q+1} \rightarrow +\infty$, uniformly in $x \geq 1$.

Secondly, by (5, 6), there exist two positive constants C_1 and C_2 , depending only on q , such that uniformly in $x \geq 1$ and p_{q+1} ,

$$\begin{cases} \#((T_q(x) + \bar{q}) \setminus T_q(x)) \leq C_1 \log(x)^{q-1}, & \text{by (6)} \\ \#T_q(p_{q+1}x) \geq C_2 \log(p_{q+1}x)^q, & \text{by (5)} \end{cases}$$

whence there exists some positive constant C depending only on $p_1, \dots, p_q$ such that uniformly in $x \geq 1$, for any p_{q+1} ,

$$\frac{\#((T_q(x) + \bar{q}) \setminus T_q(x))}{\#T_q(p_{q+1}x)} \leq \frac{C}{\log p_{q+1}}.$$

Finally we may select $p_{q+1} \geq N(\varepsilon_q)$ so large that uniformly in $x \geq 1$,

$$A(p_{q+1}, x) < \frac{1}{2} \varepsilon_q.$$

For such choice of p_{q+1} , we get that as soon as $n \geq N(\varepsilon_q)$, for any $\gamma \in \langle q \rangle$,

$$\#\partial_\gamma(T_{q+1}(n)) / \#T_{q+1}(n) < \varepsilon_q, \quad (8)$$

we have proved:

Lemma 2. *Given $q > 1$, arbitrary coprime $p_1 < \dots < p_q$, arbitrary $\varepsilon_q > 0$, there exists an integer $N(\varepsilon_q)$ and a $p_{q+1} \geq N(\varepsilon_q)$ which is coprime to the p_i 's ($1 \leq i \leq q$), such that for any $\gamma \in \langle q \rangle$, if $n \geq N(\varepsilon_q)$, (8) holds.*

2.4. The inductive construction of good ID chains. We fix a sequence $(\varepsilon_q)_{q \geq 1}$ of positive real numbers tending to 0. Next we select arbitrary $p_1 > 0$. Then a repeated inductive use of Lemma 2 produces a sequence $p_1 < p_2 < p_3 < \dots < p_{q+1} < \dots$ of pairwise coprime integers, and another sequence $N(\varepsilon_1) \leq N(\varepsilon_2) \leq \dots \leq N(\varepsilon_q) \leq \dots$ of integers (we can choose them increasing), along with the corresponding properties in (8).

We then define, for each n , the set $T(n)$ as in (3). As before, $T(n) = T_{q(n)}(n)$, where $p_{q(n)} \leq n < p_{q(n)+1}$: then if $n > p_2$ (that is $q(n) \geq 2$), and $q \leq q(n) - 1$,

$$\gamma \in \langle q \rangle \subset \langle q(n) - 1 \rangle \Rightarrow \#\partial_\gamma(T_{q(n)}(n)) / \#T_{q(n)}(n) < \varepsilon_{q(n)-1},$$

because $p_{q(n)}$ exceeds $N(\varepsilon_{q(n)-1})$.

Now we fix $\gamma \in l_0(\mathbb{N})$ and select $q \geq 2$ such that $\gamma \in \langle q \rangle$. Then if n_0 satisfies $q(n_0) - 1 \geq q$, we obtain that for any $n \geq n_0$,

$$\#\partial_\gamma(T(n)) / \#T(n) = \#\partial_\gamma(T_{q(n)}(n)) / \#T_{q(n)}(n) < \varepsilon_{q(n)-1},$$

by our inductive construction using Lemma 2. Since $\varepsilon_q \rightarrow 0$ and $q(n) \rightarrow \infty$, this proves (P2). By Lemma 1, we have proved Theorem 1.

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Author's address: ISITV, Avenue G. Pompidou, B.P. 56, 83162 La Valette Cedex, France, e-mail: yves.lacroix@univ-tln.fr