# FINITE RANK TRANSFORMATION AND WEAK CLOSURE THEOREM: II.

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#### Abstract

Given positive integers (or  $\infty$ )  $r \geq 2$  and  $m \geq 1$ , we construct an ergodic automorphism T with rank r and  $\#_{\overline{wcl\{T^n; n \in \mathbb{Z}\}}}^{C(T)} = m$ . Moreover,  $wcl\{T^n; n \in \mathbb{Z}\}$  is uncountable.

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## 1 Introduction.

Let  $(X, \mathcal{B}, \mu, T)$  be an ergodic dynamical system and let C(T) be the metric centralizer of T. The Weak Closure Theorem [Kin1] asserts that  $C(T) = wcl\{T^n, n \in \mathbb{Z}\}$ , whenever r(T) = 1, where r(T) is the rank of T. The natural question of the existence of a relationship between r(T) and the cardinality q(T) of the quoutient group  $\frac{C(T)}{wcl\{T^n; n \in \mathbb{Z}\}}$  in the general case arises.

In [BuKwSi] a class  $\mathcal{A}$  of group extensions of rank one transformations is defined such that  $q(T) \geq r(T)$  for  $T \in \mathcal{A}$ . Moreover, the difference q(T) - r(T) takes arbitrarily large positive values and an uncountable  $wcl\{T^n, n \in Z\}$  can be obtained. The reverse inequality  $q(T) \leq r(T)$  holds for  $T \in \mathcal{M}$  (the mixing automorphisms, [Kin2]) and for  $T \in \mathcal{B}$ , where  $\mathcal{B}$  is the class of automorphisms defined in [ChKaMFRa]. More precisely, if  $T \in \mathcal{B}$  then q(T) = 2 and r(T) can be arbitrarily large [BuKwSi]. Each automorphism  $T \in \mathcal{B}$  has a discrete part in its spectrum (from this point of view the classes  $\mathcal{M}$  and  $\mathcal{B}$  are far from each other). However for  $T \in \mathcal{B}$  or  $T \in \mathcal{M}$  the powers  $\{T^n, n \in Z\}$  of T form an isolated set in C(T). Therefore in both cases one has  $wcl\{T^n, n \in Z\} = \{T^n, n \in Z\}$ . So it still remains interesting to find examples of automorphisms T such that  $q(T) \leq r(T)$ , r(T) - q(T) is arbitrarily large and  $wcl\{T^n, n \in Z\}$  is uncountable.

In this paper we obtain stronger results. We construct a class of ergodic automorphisms T such that  $wcl\{T^n, n \in Z\}$  is uncountable, and r(T) = r, q(T) = m for arbitrary  $2 \leq r \leq \infty$  and  $1 \leq m \leq \infty$ ,  $(r, m) \neq (\infty, \infty)$ . Our examples lie in the class of group extensions determined by r-Toeplitz sequences. The investigation of ergodicity and the metric centralizer relies on Newton's functional equation [New] and is carried out partially on a metric group extension representation of the system. Investigating the rank (and partly the centralizer too) we use a shift representation of those extensions.

## 2 Preliminaries.

#### 2.1 Notations and definitions.

Let  $(X, \mathcal{B}, \mu)$  be a Lebesgue space and T a measure-preserving invertible ergodic transformation of  $(X, \mathcal{B}, \mu)$ . By the centralizer (metric) of T we mean the set of all measurepreserving automorphisms of  $(X, \mathcal{B}, \mu)$  which commute with T and we denote it by C(T). Then C(T) is a topological group with the standard composition of the transformations and with a topology (called the weak topology) defined as follows:  $\{S_n\}_{n \in \mathbb{N}} \in C(T)$ converges to  $S \in C(T)$  if for every  $A \in \mathcal{B}$ 

$$\mu(S_n A \triangle S A) \longrightarrow 0.$$

We shall indicate this convergence by  $S_n \rightharpoonup S$ .

With this topology, C(T) is metric, complete. By  $wcl\{T^n, n \in \mathbb{Z}\}$  we mean the weak closure of the powers of T in C(T). We say that a sequence of sets  $A_1, \ldots, A_k \in \mathcal{B}$  is a T-tower if these sets are pairwise disjoint and  $TA_i = A_{i+1}, i = 1, \ldots, k-1$ .

An  $\varepsilon$ -partition of X is a finite collection of measurable disjoint sets which covers X up to  $\varepsilon$  in measure. The rank of a dynamical system  $(X, \mathcal{B}, \mu, T)$  is the smallest positive integer r = r(T) such that there exists a sequence  $(P_n)$ , each  $P_n$  an  $\varepsilon_n$ -partition,  $\varepsilon_n \downarrow 0$ , such that  $P_n$  (as a set) is a union of r T-towers. If such a positive integer does not exist then we say that  $r(T) = \infty$ .

Suppose now that G is a compact metric abelian group and  $\varphi : X \longrightarrow G$  is a measurable function which we will call a cocycle. The G-extension of  $(X, \mathcal{B}, \mu, T)$  given

by the cocycle  $\varphi$  is the dynamical system  $\mathcal{X}_{\varphi} = (X \times G, \mathcal{B} \times \mathcal{B}_G, \mu \times \nu, T_{\varphi})$ , where  $\mathcal{B}_G$  is the Borel  $\sigma$ -algebra in  $G, \nu$  is the normalized Haar measure on G and

$$T_{\varphi}(x,g) = (Tx,g + \varphi(x))$$

for  $x \in X, g \in G$ . It is well known [Par] that for ergodic  $(X, \mathcal{B}, \mu, T)$ 

**Theorem A**  $T_{\varphi}$  is ergodic iff the functional equation

(1) 
$$\frac{f(Tx)}{f(x)} = \gamma(\varphi(x))$$

has no measurable solutions  $f: X \longrightarrow K$  for any nontrivial character  $\gamma$  of G (K is the unit complex circle).

It is known (see [New] for the definition) that if  $(X, \mathcal{B}, \mu, T)$  is a canonical factor of  $T_{\varphi}$  (for example if T is with discrete spectrum) then, assuming that  $T_{\varphi}$  is ergodic,  $C(T_{\varphi})$  is given by the triples  $(S, f, \tau)$ , where  $S \in C(T)$ ,  $f: X \to G$  is measurable and  $\tau$  is a group automorphism of G such that

(2) 
$$f(Tx) - f(x) = \varphi(Sx) - \tau(\varphi(x)).$$

This means that every element  $R \in C(T_{\varphi})$  is of a form

(3) 
$$R(x,g) = (Sx,\tau(g) + f(x))$$

In such a case we write  $R \sim (S, f, \tau)$ . The following property is proved in [LeLi] and [LeLiTh], using Theorem A.

**Theorem B** If  $R_n, R \in C(T_{\varphi})$  and  $R_n \sim (S_n, f_n, id), R \sim (S, f, id)$  then  $R_n \rightarrow R$  iff  $S_n \rightarrow S$  and  $f_n \longrightarrow f$  in measure  $\mu$ .

Let  $\sigma_a: X \times G \longrightarrow X \times G$  be given by the formula

(4) 
$$\sigma_a(x,g) = (x,g+a), \quad a \in G.$$

Then  $\sigma_a \in C(T_{\varphi}), \sigma_a \sim (id, a, id)$ . For every integer  $n, (T_{\varphi})^n$  is given by the formula

(5) 
$$(T_{\varphi})^n(x,g) = (T^n x, g + \varphi^{(n)}(x))$$

where

(6) 
$$\varphi^{(n)}(x) = \begin{cases} \varphi(x) + \ldots + \varphi(T^{n-1}x), & \text{if } n \ge 0\\ -\varphi(T^{-1}x) - \ldots - \varphi(T^nx), & \text{if } n < 0 \end{cases}$$

Then it follows from Theorem B that

**Corollary 1**  $(T_{\varphi})^{n_k} \rightharpoonup \sigma_a$  in  $C(T_{\varphi})$  iff  $T^{n_k} \rightharpoonup id$  in C(T) and  $\varphi^{(n_k)} \longrightarrow a$  in measure.

#### 2.2 Sequences and blocks.

A finite sequence  $B = (B[0], \ldots, B[k-1]), B[i] \in G, 0 \leq i \leq k-1, k \geq 1$ , is called a block over G. The number k is called the length of B and is denoted by |B|. If  $C = (C[0], \ldots, C[n-1])$  is another block then the concatenation of B and C is the block

$$BC = (B[0], \dots, B[k-1], C[0], \dots, C[n-1]).$$

Inductively we define the concatenation of an arbitrary number of blocks. By  $B_g, g \in G,$  we will denote the block

$$B_g = (B[0] + g, \dots, B[k-1] + g)$$

and by B[i, s]  $(0 \le i \le s \le k - 1)$  the block

$$B[i,s] = (B[i],\ldots,B[s]).$$

Assume that

$$B = B(0) \dots B(r-1)$$

is a concatenation of r blocks  $B(0), \ldots, B(r-1)$  having the same lengths and

$$C = C[0] \dots C[rm-1]$$

for some  $m \geq 1$ . We define the product  $B \times C$  of B and C as follows:

$$B \times C =$$

 $B_{C[0]}(0)...B_{C[r-1]}(r-1)B_{C[r]}(0)...B_{C[2r-1]}(r-1)B_{C[r(m-1)]}(0)...B_{C[rm-1]}(r-1).$ 

Then

$$|B \times C| = \frac{|B||C|}{r} = |B(i)|rm$$
, for every  $i = 0, \dots, r-1$ .

Let  $\Omega$  by the space of all *bi*-infinite sequences over *G*. If  $\omega \in \Omega$  or  $\omega$  is a onesided infinite sequence over *G* then  $\omega[i, s]$ ,  $i \leq s$ , denotes the block  $(\omega[i], \ldots, \omega[s])$ . A block *B* is said to occur at place *i* in  $\omega$  (resp. in a block *C*, |C| = n, if  $|B| \leq n$ ) if  $\omega[i, i + |B| - 1] = B$  (resp. C[i, i + |B| - 1] = B). The frequencies of *B* in *C* or  $\omega$  are the numbers

$$fr(B,C) = |C|^{-1} \# \{ 0 \le i \le |C| - |B|; B \text{ occurs at place } i \text{ in } C \},$$
$$fr(B,\omega) = \lim_{s \to \infty} fr(B,\omega[0,s-1]),$$

if this limit exists.

For an infinite subsequence of  $\omega$ ,  $E = \{\omega[n], n \in I \subset \mathbb{Z}\}$  (resp.  $E = \{\omega[n], n \in I \subset \mathbb{N}\}$ ), we call the density of E the density of the set I in  $\mathbb{Z}$  (resp. in  $\mathbb{N}$ ), whenever it exists. Let  $\delta > 0$ . We say that  $B \delta$ -occurs at place i in C (resp. in  $\omega$ ) if

$$d(B, C[i, i + |B| - 1]) < \delta$$
 (resp.  $d(B, \omega[i, i + |B| - 1]) < \delta$ ),

where

$$d((x_1, \dots, x_n), (y_1, \dots, y_n)) = n^{-1} \#\{i; x_i \neq y_i\}$$

(*d* is called the normalized Hamming distance or *d*-bar distance between sequences). We will say also that  $B \delta$ -occurs on the fragment  $\omega[i, i + |B| - 1]$  of  $\omega$ .

We will use the following elementary properties of the distance d;

(8) 
$$d(B \stackrel{r}{\times} C, B \stackrel{r}{\times} D) = d(C, D) \text{ (see (7))},$$

(9) 
$$d(B_g, C_g) = d(B, C),$$

(10) 
$$d(A_1A_2, B_1B_2) = \frac{|A_1|}{|A_1| + |A_2|} d(A_1, B_1) + \frac{|A_2|}{|A_1| + |A_2|} d(A_2, B_2),$$

where  $|A_1| = |B_1|, |A_2| = |B_2|.$ 

If  $D_1 \subset D$  ( $D_1$  is a subblock of D) and  $C_1 \subset C$ ,  $|D_1| = |C_1|$ , both appearing in the corresponding same positions, then

(11) 
$$d(D,C) \ge \frac{|D_1|}{|D|} d(D_1,C_1).$$

(12) 
$$d(A_1A_2...A_s, B_1B_2...B_s) = \frac{1}{s}\sum_{i=1}^s d(A_i, B_i)$$

if  $|A_1| = |A_2| = \ldots = |A_s| = |B_1| = \ldots = |B_s|.$ 

By  $T_{\sigma}$  we denote the left shift homeomorphism of  $\Omega$ . If  $\omega \in \Omega$  then  $O(\omega)$  denotes the  $T_{\sigma}$ -orbit of  $\omega$  and  $\Omega_{\omega}$  the  $T_{\sigma}$ -orbit closure of  $\omega$  in  $\Omega$ . The  $T_{\sigma}$ -orbit closure  $\Omega_{\omega}$  is well-defined if  $\omega$  is a one-sided sequence. Namely, we first let  $\diamondsuit \notin G$  be an additional symbol. Then we let  $\omega^{\diamondsuit}$  denote the bi-infinite sequence which agrees with  $\omega$  at positive coordinates and has only squares appearing at the negative ones. Then we say that a *bi*-infinite y belongs to  $\Omega_{\omega}$  if there exists  $n_i \to +\infty$  such that  $T_{\sigma}^{n_i} \omega \to y$  in  $\Omega$  (the convergence is for all coordinates of y, and the limiting element y does not contain any more squares). The topological flow  $(\Omega_{\omega}, T_{\sigma})$  is called minimal if there is no non -trivial closed and  $T_{\sigma}$ -invariant subset of  $\Omega_{\omega}$ . We say that  $(\Omega_{\omega}, T_{\sigma})$  is uniquely ergodic if there is a unique borelian normalized  $T_{\sigma}$ -invariant measure  $\mu_{\omega}$  on  $\Omega_{\omega}$ . Then  $(\Omega_{\omega}, T_{\sigma})$  is said to be strictly ergodic if it is minimal and uniquely ergodic. Suppose  $(\Omega_{\omega}, T_{\sigma})$  is strictly ergodic. The unique  $T_{\sigma}$ -invariant measure  $\mu_{\omega}$  is determined by the condition

$$\mu_{\omega}(B) = fr(B,\omega)$$

for each block B. In this case the definition of the rank can be expressed as follows.

We say that  $(\Omega_{\omega}, T_{\sigma}, \mu_{\omega})$  is of rank at most r if for any  $\delta > 0$  and every n, there exist r blocks  $B_1, \ldots, B_r$ ,  $|B_i| \ge n$ , such that for all N large enough, for any  $s \in \mathbb{N}$ , the fragment  $\omega[s, s + N - 1]$  has a form

$$\omega[s, s+N-1] = \varepsilon_1 W_1 \varepsilon_2 W_2 \dots \varepsilon_k W_k \varepsilon_{k+1},$$

where  $|\varepsilon_1| + \ldots + |\varepsilon_k| + |\varepsilon_{k+1}| < \delta N$  and the distance d between  $W_j$  and some  $B_m$ ,  $j = 1, \ldots, k, 1 \le m \le r$ , is less than  $\delta$ . The system  $(\Omega_{\omega}, T_{\sigma}, \mu_{\omega})$  is of rank r if it is of rank at most r and not of rank at most r - 1.

#### 2.3 Adding machines and *r*-Toeplitz cocycles.

Now, let  $T: (X, \mathcal{B}, \mu) \longrightarrow (X, \mathcal{B}, \mu)$  be a  $\{p_t\}$ -adic adding machine i.e.

$$p_{t+1} = \lambda_{t+1} p_t, \quad \lambda_0 = p_0, \quad \lambda_t \ge 2 \text{ for } t \ge 0,$$
$$X = \{ x = \sum_{t=0}^{\infty} q_t p_{t-1}; \ 0 \le q_t \le \lambda_t - 1, \ p_{-1} = 1 \}$$

is the group of  $\{p_t\}$ -adic integers and  $Tx = x + \hat{1}$ , where

$$1 = 1 + 0p_1 + 0p_2 + \dots$$

The space X has a standard sequence  $\{\xi_t\}_{t\geq 0}$  of T-towers. Namely

$$\xi_t = (D_0^t, \dots, D_{p_t-1}^t)$$

where

$$D_0^t = \{x \in X; q_0 = \ldots = q_t = 0\}, D_s^t = T^s(D_0^t)$$

for  $s = 1, ..., p_t - 1$ . We have  $X = \bigcup_{i=0}^{p_t-1} D_i^t$ . Then  $\xi_{t+1}$  refines  $\xi_t$  and the sequence of partitions  $\{\xi_t\}_{t\geq 0}$  converges to the point partition.

We will define a special class of cocycles  $\varphi : X \longrightarrow G$  that are determined by Toeplitz sequences over G.

Let  $r \ge 2$  be an integer, and assume that  $b^0, b^1, \ldots$  are finite blocks over G with  $|b^t| = \lambda_t r, \ \lambda_t \ge 2$ , such that  $b^t[0, r-1] = (\underbrace{0, \ldots, 0}_{r-times})$ . We shall introduce a particular

sequence  $(p_t)$ , and some new blocks  $(B^t)$ .

We can write

(13) 
$$b^t = b^t(0) \dots b^t(r-1), \ |b^t(i)| = \lambda_t, \ i = 0, \dots, r-1.$$

Define another sequence of blocks  $\{B^t\}$  letting

(14) 
$$B^0 = b^0, \ B^{t+1} = B^t \stackrel{r}{\times} b^{t+1}, \ t \ge 0.$$

Then we have

$$|B^t| = rm_t = p_t; \ m_t = \lambda_0 \dots \lambda_t$$

and we can represent  $B^t$  as

(16) 
$$B^t = B^t(0) \dots B^t(r-1), \ |B^t(i)| = m_t, \ i = 0, \dots, r-1.$$

Moreover

(

(17) 
$$B^{t+1}[0, p_t - 1] = B^t$$

Now we can define a cocycle  $\varphi$  by

(18) 
$$\varphi(x) = B^t[i+1] - B^t[i]$$

if  $x \in D_i^t$  except of  $i = m_t - 1, 2m_t - 1, \dots, p_t - 1$ . Let us observe that  $\varphi$  is well defined. Such a cocycle is called *r*-Toeplitz cocycle. For every  $t \ge 0$ ,  $\varphi$  is constant on the levels of  $\xi_t$  except of *r* levels.

The sequence  $\{B^t\}_{t>0}$  determines a one-sided sequence  $\omega$  as follows:

(19) 
$$\omega[0, p_t - 1] = B^t, \ t = 0, 1, \dots$$

The condition (17) guarantees that  $\omega$  is well defined. It is not hard to show that the condition

(20) 
$$fr(g, b^t) \ge \rho > 0$$
 (if G is finite)

for every  $g \in G$  and t = 0, 1, ..., implies that the system  $(\Omega_{\omega}, T_{\sigma})$  is strictly ergodic. Then using (19), (20), and arguments as in [Lem], we deduce that the dynamical systems  $(\Omega_{\omega}, T_{\sigma}, \mu_{\omega})$  and  $(X \times G, T_{\varphi}, \mu \times \nu)$  are metrically isomorphic when  $T_{\varphi}$  is ergodic.

The group extensions defined by r-Toeplitz cocycles shall be called r-Toeplitz extensions.

In the sequel we will write

$$\omega = b^0 \stackrel{r}{\times} b^1 \stackrel{r}{\times} b^2 \stackrel{r}{\times} \dots .$$

~

Except of  $\omega$  we need the sequences  $\omega_t$ ,  $t \ge 0$ , defined by

(21) 
$$\omega_t = b^t \stackrel{'}{\times} b^{t+1} \stackrel{'}{\times} \dots$$

#### Examples of *r*-Toeplitz extensions. 3

In this part, given  $r \ge 2$  and  $m \ge 1$ , we define r-Toeplitz group extensions having cardinality of the quotient group  $C(T_{\varphi})/wcl\{T_{\varphi}^{n}; n \in \mathbb{Z}\}$  equal to m.

#### 3.1The case $r \ge 2$ , $m \ge 2$ .

Let  $G = \mathbb{Z}/m\mathbb{Z} = \{0, ..., m-1\}$ . Define

$$F^{(i)} = \underbrace{\overbrace{00\dots0}^{r(2^{i+2}-1)}}_{H^{(i)}} \underbrace{\overbrace{0\dots0}^{r}}_{i+1} \underbrace{0\dots0}_{i+1}, i = 0, \dots, r-1;$$
$$H^{(i)} = F_{0}^{(i)}F_{1}^{(i)}\dotsF_{m-1}^{(i)}.$$

$$H^{(\prime)} = F_0^{(\prime)} F_1^{(\prime)} \dots F_n^{(\prime)}$$

We have  $|H^{(i)}| = mr2^{i+2}$ . Next define

$$b^{t}(0) = \underbrace{H^{(0)}H^{(0)}\dots H^{(0)}}_{x_{0}-times}$$
$$b^{t}(1) = \underbrace{H^{(1)}H^{(1)}\dots H^{(1)}}_{x_{1}-times}$$
$$\vdots$$
$$b^{t}(r-1) = \underbrace{H^{(r-1)}H^{(r-1)}\dots H^{(r-1)}}_{x_{r-1}-times}$$

where

$$x_i = 2^{t+r-1-i}, \ 0 \le i \le r-1,$$

and

$$b^t = b^t(0) \dots b^t(r-1), \ t \ge 0.$$

Then we have

$$\lambda_t = |b^t(i)| = mr2^{t+r+1}, \text{ for } i = 0, \dots, r-1 \text{ (see (13))}$$

and

$$|b^t| = mr^2 2^{t+r+1}$$

Now define the blocks  $B^t$ ,  $t \ge 0$ , by (14) and the cocycle  $\varphi$  by (18). Then from (15)

$$p_t = |B^t| = m^{t+1} r^{2t} 2^{r+1} (2^{t+1} - 1), \ t \ge 0.$$

### **3.2** The case $r \ge 2, m = 1$ .

Let  $G = \mathbb{Z}/n\mathbb{Z} = \{0, \dots, n-1\}, n \ge 4$ . Then define

$$F^{(i)} = \underbrace{\overbrace{00\dots0}^{3r}}_{i+1} \underbrace{r}_{i+1}^{r} \underbrace{0\dots0}_{i+1},$$
$$H^{(i)} = F_0^{(i)} F_1^{(i)} \dots F_{n-1}^{(i)},$$

and

$$b^{t}(i) = \underbrace{H^{(i)}H^{(i)}\dots H^{(i)}}_{x-times}, \quad x = 2^{t}.$$

Next set

$$b^{t} = b^{t}(0) \dots b^{t}(r-1),$$
$$B^{t} = b^{0} \stackrel{r}{\times} b^{1} \stackrel{r}{\times} \dots \stackrel{r}{\times} b^{t}, t \ge 0$$

and define  $\varphi$  by (18). In this case we have

$$\lambda_t = rn2^{t+2} = |b^t(i)|, \quad |b^t| = r^2 n2^{t+2}, \text{ for } i = 0, 1..., r-1 \text{ and } t \ge 0.$$

#### **3.3** Ergodicity and the metric centralizer.

**Theorem 1**  $T_{\varphi}$  is ergodic.

**Proof.** We will prove ergodicity of  $T_{\varphi}$  in both cases 3.1 and 3.2. Assume that there exists a measurable function  $f: X \longrightarrow K$  satisfying (1). Then (see (5), (6))

(22) 
$$\frac{f(T^n x)}{f(x)} = \gamma(\varphi^{(n)}(x))$$

for  $\mu$ -a.e.  $x \in X$  and every  $n \in \mathbb{Z}$ .

In particular (22) holds for  $n = p_t$ , t = 0, 1... The measurability of f and the fact that  $\xi_t \longrightarrow \varepsilon$  (the partition into points) in X imply

(23) 
$$\gamma(\varphi^{(p_t)}(x)) = 1$$

except of a subset of measure  $\varepsilon_t$  and  $\varepsilon_t \longrightarrow 0$ . Let  $x \in D_j^{t+1}$ ,  $0 \le j \le p_{t+1} - 1$ . We can represent j as

$$(24) j = up_t + vm_t + \rho,$$

where  $0 \le u \le \lambda_{t+1} - 1$ ,  $0 \le v \le r - 1$ ,  $0 \le \rho \le m_t - 1$  (see (15)). It follows from (18) (with t := t + 1) that

(25) 
$$\varphi^{(p_t)}(x) = B^{t+1}[j+p_t] - B^{t+1}[j]$$

except j for which  $u = u_1 = \frac{\lambda}{r} - 1, \dots, u = u_r = \frac{r\lambda}{r} - 1 = \lambda - 1, \lambda = \lambda_{t+1}$ . At the same time we have

$$B^{t+1}[j] = b[ur+v] + B^t(v)[\rho], \quad b = b^{t+1} \quad (\text{see } (14), (16)).$$

Then (25) can be rewritten as

(26) 
$$\varphi^{(p_t)}(x) = b[(u+1)r+v] - b[ur+v], \quad u \neq u_1, \dots, u_r.$$

The last equality and (23) imply that

(27) 
$$\gamma(c[q]) = 1, \quad (q = ur + v)$$

for  $q \in V_t \subset \{0, 1, \dots, r\lambda_{t+1} - 1\}$   $\frac{\#V_t}{r\lambda_{t+1}} \ge 1 - \varepsilon_t - \frac{2}{\lambda_{t+1}}$ , where  $c = c^t$  is given by  $c[q] := b[q+r] - b[q], \quad q = 0, \dots, r\lambda - r - 1.$ 

Further the blocks  $c = c^t$  have the following forms:

(28) 
$$c = \underbrace{E^{(0)} \dots E^{(0)}}_{(m_{1}, \dots, E^{(0)})} L^{(0)} \underbrace{E^{(1)} \dots E^{(1)}}_{(m_{1}, \dots, E^{(1)})} L^{(1)} \dots \underbrace{E^{(r-1)} \dots E^{(r-1)}}_{(r-1)} \underbrace{E^{(r-1)} \dots E^{(r-1)}}_{(r-1)}$$

where

$$E^{(0)} = \underbrace{0 \dots 0}^{2r} \underbrace{10 \dots 0}_{10 \dots 0} \underbrace{01 \dots 1}_{r}^{r}, |L^{0}| = r,$$
$$E^{(1)} = \underbrace{0 \dots 0}_{0 \dots 0} \underbrace{010 \dots 0}_{101 \dots 1} \underbrace{101 \dots 1}_{r}, |L^{1}| = r,$$
$$\vdots$$

$$E^{(r-1)} = \underbrace{\stackrel{(2^{r+1}-2)r}{\overbrace{0\ldots0}}_{r} \stackrel{r}{\overbrace{0\ldots01}}_{1\ldots10}^{r}}_{1\ldots10}, \ |L^{(r-2)}| = r,$$

in the case 3.1. In the case 3.2 we have

(29) 
$$c = \underbrace{E^{(0)} \dots E^{(0)}}_{(n^{(1)} \dots E^{(0)})} L^{(0)} \underbrace{E^{(1)} \dots E^{(1)}}_{(n^{(1)} \dots E^{(1)})} L^{(1)} \dots \underbrace{E^{(r-1)} \dots E^{(r-1)}}_{(n^{(r-1)} \dots E^{(r-1)})}$$

where

$$E^{(0)} = \underbrace{0 \dots 0}^{2r} \underbrace{10 \dots 0}_{10 \dots 0} \underbrace{01 \dots 1}_{10 \dots 1}, \quad |L^{0}| = r,$$

$$E^{(1)} = \underbrace{0 \dots 0}_{2r} \underbrace{010 \dots 0}_{101 \dots 1} \underbrace{101 \dots 1}_{1}, \quad |L^{1}| = r,$$

$$\vdots$$

$$E^{(r-1)} = \underbrace{0 \dots 0}_{0 \dots 0} \underbrace{0 \dots 01}_{1 \dots 10} \underbrace{1 \dots 10}_{1 \dots 10}, \quad |L^{(r-2)}| = r.$$

In both cases 1 appears in c with frequency  $> \frac{1}{r^{2r+2}}$  for each  $t \ge 0$ . Then (27) implies  $\gamma(1) = 1$  so  $\gamma$  is trivial. We have proved that  $T_{\varphi}$  is ergodic.

## **3.4** The centralizer of $T_{\varphi}$ .

The  $p_t$ -adic adding machine  $(X, \mathcal{B}, \mu, T)$  is a canonical factor of the group extension  $(X \times G, \mathcal{B} \times \mathcal{B}_G, \mu \times \nu, T_{\varphi})$ . Then  $C(T_{\varphi})$  is described in 2.1. We can distinguish the following subgroups of  $C(T_{\varphi})$ :

$$C_1 = wcl\{T_{\varphi}^n; n \in \mathbb{Z}\}$$
$$C_2 = \{\sigma_a \circ \widetilde{S}; \ \widetilde{S} \in C_1 \text{ and } a \in G\},\$$

$$C_3 = \{ R \sim (S, f, \tau); \ \tau = id \}.$$

Of course  $C_1, C_2, C_3$  are closed subgroups of  $C(T_{\varphi})$  and

$$C_1 \subset C_2 \subset C_3 \subset C(T_{\varphi}).$$

We prove in Lemmas 1 and 2 that  $C(T_{\varphi})$  reduces to  $C_2$  when  $\varphi$  is the r-Toeplitz cocycle defined in 3.1 or in 3.2.

In the sequel n means the same n as the one defined in 3.2 if this case is considered, and n := m if the case 3.1 is considered.

**Lemma 1**  $C(T_{\varphi}) = C_3$ .

**Proof.** Take R as in (3). Then the triple  $(S, f, \tau)$  satisfies (2). Putting  $x := Tx, \ldots, T^{p_t-1}x$ in (2) and summing we obtain

(30) 
$$f(T^{p_t}x) - f(x) = \varphi^{(p_t)}(Sx) - \tau(\varphi^{(p_t)}(x))$$

for  $\mu$ -a.e.  $x \in X$  and each  $t \geq 0$ . Using the same arguments as in the proof of Theorem 1 we get from (30)(....) (31)

$$\varphi^{(p_t)}(Sx) - \tau(\varphi^{(p_t)}(x)) = 0$$

for  $x \in X_t$  and  $\mu(X_t) \longrightarrow 1$ .

Further we know [New] that there exists  $q_0 \in X$  such that

$$S(x) = x + g_0, \ x \in X.$$

Let

$$g_0 = \sum_{t=0}^{\infty} u_t p_{t-1}, \quad 0 \le u_t \le \lambda_t - 1, \ t \ge 1 \text{ and } 0 \le u_0 \le \lambda_0 r - 1.$$

Fix t and consider (31) on the tower  $\xi_{t+1}$ . Let  $j_t = \sum_{i=0}^t u_j p_{j-1}$ . Then (see (24))

 $j_t = v_0 m_t + \rho_0, \quad j_{t+1} = u_0 p_t + v_0 m_t + \rho_0, \quad u_0 = u_{t+1}.$ 

If  $x \in D_j^{t+1}$ ,  $0 \le j \le p_{t+1} - 1$ , then  $Sx \in D_{j+j_{t+1}}^{t+1}$ , where  $j + j_{t+1}$  is taken mod  $p_{t+1}$ . We can write

$$j + j_{t+1} = \bar{u}p_t + \bar{v}m_t + \bar{\rho}, \quad 0 \le \bar{u} \le \lambda - 1, \ 0 \le \bar{v} \le r - 1, \ 0 \le \bar{\rho} \le m_t - 1.$$

Let us denote (use (24) for j)

$$q_0 = \begin{cases} u_0 r + v_0 & \text{if} \quad \rho = 0, \dots, m_t - \rho_0 - 1, \\ u_0 r + v_0 + 1 & \text{if} \quad \rho = m_t - \rho_0, \dots, m_t - 1 \end{cases}$$

and q = ur + v,  $\bar{q} = \bar{u}r + \bar{v}$ . Then  $\bar{q} = q + q_0 \pmod{r\lambda_{t+1}}$ . Thus (26) and (31) give

(32) 
$$c[q+q_0] = \tau(c[q]) \text{ if } q \in V_t \subset \{0, 1, \dots, r\lambda_{t+1} - 1\}$$

and  $\frac{1}{\lambda_{t+1}} \# V_t \longrightarrow 1$ . Analysing the sequences (28) and (29) it is easy to observe that they do not satisfy (32) with any  $q_0$  whenever  $\tau \neq id$  (i.e.  $\tau(1) \neq 1$ ). The Lemma is proved.

Lemma 2  $C(T_{\varphi}) = C_2$ .

**Proof.** Let  $R \sim (S, f, id) \in C_3$ . Then (32) means

$$c[q+q_0] = c[q], \ q \in V_t.$$

The last condition implies

(33) 
$$q_0(t) = q_0 = 2^{r+1} rmw, \ w = w_t$$

in the case 3.1 and

(34) 
$$q_0(t) = q_0 = 4rnw, \ w = w_t$$

in the case 3.2, where  $0 \le w \le r2^{t+1} - 1$  (see again (28) and (29)). Moreover

$$\min(\frac{q_0(t)}{\lambda_{t+1}}, 1 - \frac{q_0(t)}{\lambda_{t+1}}) \longrightarrow 0.$$

The above condition implies

$$\min(\frac{j_t}{p_t}, 1 - \frac{j_t}{p_t}) \longrightarrow 0$$

Assume that  $\frac{j_t}{p_t} \longrightarrow 0$  along some subsequence of t. It follows from the definition of the  $p_t$ -adic adding machine that (3

$$5) T^{j_t} \rightharpoonup S.$$

Now we will prove that there exists  $a \in G$  such that

(36) 
$$\varphi^{(j_t)} \longrightarrow f + a$$

in measure  $\mu$ .

The function f satisfies the condition (see (2) with  $\tau = id$ )

$$f(Tx) - f(x) = \varphi(Sx) - \varphi(x).$$

The measurability of f and  $\xi_t \longrightarrow \varepsilon$  imply that there exists  $a_t \in G$  such that the functions  $f_t$  defined by

(37) 
$$f_t(y) = a_t + \varphi^{(i)}(Sx) - \varphi^{(i)}(x), \quad y \in D_i^t, \ y = T^i x, \ x \in D_0^t,$$
$$i = 0, \dots, p_t - 1,$$

satisfy the condition

$$f_t \longrightarrow f$$
 in measure  $\mu$ 

We can assume that  $a_t = b$ . We can rewrite (37) as

$$f_t(y) = b + \varphi^{(i)}(Sx) - \varphi^{(i)}(T^{j_t}x) + \varphi^{(i)}(T^{j_t}x) - \varphi^{(i)}(x).$$

Further we have (see (6))

(38) 
$$\varphi^{(i)}(T^{j_t}x) - \varphi^{(i)}(x) = \varphi^{(j_t)}(T^i x) - \varphi^{(j_t)}(x).$$

Because of  $j_t < m_t$  then  $\varphi^{(j_t)}(x) = b_t$  for all  $x \in D_0^t$ . Assuming again  $b_t = b_1$  we can write (38) as

$$\varphi^{(i)}(T^{j_t}x) - \varphi^{(i)}(x) = \varphi^{(j_t)}(y) - b_1$$

and (37) as (39)

$$f_t(y) = b_2 + \varphi^{(j_t)}(y) + \varphi^{(i)}(Sx) - \varphi^{(i)}(T^{j_t}x)$$

Assume that

$$x \in D_{up_t}^{t+1}, \quad 0 \le u \le \lambda_{t+1} - 1.$$

Then

$$T^{j_t} x \in D^{t+1}_{up_t+j_t}$$
 and  $Sx \in D^{t+1}_{(u+u_0)p_t+j_t}$ 

where  $u_0 = \frac{q_0}{r}$ . For  $i \le p_t - j_t - 1$ ,  $i = vm_t + \rho$  and  $u \ne u_1, \dots, u_r$  we have

$$\varphi^{(i)}(T^{j_t}x) = B^{t+1}[up_t + j_t + i] - B^{t+1}[up_t + j_t] = b^{t+1}[ur + v] - b^{t+1}[ur]$$

and

$$\varphi^{(i)}(Sx) = B^{t+1}[(u+u_0)p_t + j_t + i] - B^{t+1}[(u+u_0)p_t + j_t]$$
$$= b^{t+1}[(u+u_0)r + v] - b^{t+1}[(u+u_0)r]$$

Thus

$$\varphi^{(i)}(Sx) - \varphi^{(i)}(T^{j_t}x) = (b[q+q_0] - b[q]) - (b[ur+q_0] - b[ur]), \quad q = ur + v.$$

Then (33) and (34) imply

(40) 
$$\varphi^{(i)}(Sx) - \varphi^{(i)}(T^{j_t}x) = 0$$

except of a set of measure  $\leq \frac{r}{\lambda_t} + \frac{j_t}{p_t}$ . Now (39) and (40) imply (36) with  $a = -b_2$ . Notice that (35) and (36) and Theorem B imply

$$T^{j_t}_{\varphi} \rightharpoonup R \circ \sigma_a$$

This proves the Lemma.

To prove that  $\# \frac{C(T_{\varphi})}{wcl\{T_{\varphi}^n; n \in \mathbb{Z}\}} = m$  in case 3.1 it is sufficient to show that  $\sigma_a \notin C_1$ whenever  $a \in \mathbb{Z}_m$ ,  $a \neq 0$ . In the case 3.2 we will prove that  $\sigma_a \in C_1$  for every  $a \in \mathbb{Z}_n$ what implies

$$#\frac{C(T_{\varphi})}{wcl\{T_{\varphi}^{n}; n \in \mathbb{Z}\}} = 1.$$

To do this we need estimations of the *d*-distance between blocks occurring in  $\omega$  and  $\omega_t, t \geq 0.$ 

#### 3.5*d*-bar distance between blocks.

The sequence  $\omega = b^0 \stackrel{r}{\times} b^1 \stackrel{r}{\times} \dots$  is a concatenation of the blocks of the form

$$E_k(t) = B^t \stackrel{r}{\times} \bar{e}_k, \quad E_k^{(s)}(t) = B^t \stackrel{r}{\times} \bar{e}_k^{(s)}, \quad k \in \mathbb{Z}_n, \quad s = 0, \dots, r-1,$$

where  $\bar{e}_k = (k, \dots, k), \quad \bar{e}_k^{(s)} = (k, \dots, k, \underbrace{k+1}_{s^{th} \text{ place}}, k, \dots, k).$ 

The sequence  $\omega_t = b^t \stackrel{r}{\times} b^{t+1} \stackrel{r}{\times} \dots$  is a concatenation of the blocks of the form

$$e_k(t) = b^t \stackrel{r}{\times} \bar{e}_k, \quad e_k^{(s)}(t) = b^t \stackrel{r}{\times} \bar{e}_k^{(s)}.$$

The blocks  $E_k = E_k(t)$ ,  $E_k^{(s)} = E_k^{(s)}(t)$  are called *t*-symbols and the blocks  $e_k =$  $e_k(t), e_k^{(s)} = e_k^{(s)}(t)$  are called "small" *t*-symbols. Each fragment  $\omega[kp_t, (k+1)p_t - 1]$  of  $\omega, k \in \mathbb{Z}$ , is a *t*-symbol, and  $\omega_t[k\lambda_t r, (k+1)\lambda_t r - 1]$  is a "small" *t*-symbol. The positions  $[kp_t, (k+1)p_t - 1]$  and  $[k\lambda_t r, (k+1)\lambda_t r - 1]$  will be called the natural positions in  $\omega$  and  $\omega_t$  respectively.

We will examine *d*-bar distance between the blocks mentioned above or between their special fragments. In particular, we will examine the pairs

$$b_k(i)b_k(i+1), b_k(i)b_{k+1}(i+1), b_{k+1}(i)b_k(i+1)$$

for  $i = 0, \ldots, r - 2$  and  $k \in \mathbb{Z}_n$  and

$$b_k(r-1)b_k(0), \ b_k(r-1)b_{k+1}(0)$$

**Proposition 1** Let

(41) 
$$\begin{cases} I = b_0^t(i)[0, \lambda_t - j - 1], & j \le \frac{1}{2}\lambda_t, \\ II = b_k^t(i')[j, \lambda_t - 1], & k \in \mathbb{Z}_n, \ i, i' = 0, \dots, r - 1, \ t \ge 0. \end{cases}$$

If

(42) 
$$d(I,II) < \frac{1}{r2^{r+2}}$$

then i' = i and

(43) 
$$j = (n-k)r2^{i+2} + anr2^{i+2}, a \ge 0$$
 if 3.1 holds

(44) 
$$j = (n-k)r4 + anr4, a \ge 0, if 3.2 holds.$$

**Proof.** It is easy to observe that if  $i' \neq i$  or i' = i and (43) (or (44) in the case 3.2) does not hold then every subblock  $F_k^{(i)}$  of I differs from the corresponding fragment in II at least in one position. Since  $j \leq \frac{1}{2\lambda_t}$ , this would imply the converse inequality in (42).

In the Propositions 2-6 the blocks  $b_k^t(i) = b_k(i), \ k \in \mathbb{Z}_n, \ 0 \le i < r$ , are those defined in 3.1.

#### **Proposition 2** Let

Proposition 2 Let  

$$I = b_0(0) \dots b_0(r-1)[0, r\lambda_t - j - 1],$$
  
 $II = b_k(0) \dots b_k(r-1)[j, r\lambda_t - 1],$   
 $j \le \frac{1}{2}r\lambda_t, \quad k \in \mathbb{Z}_n.$   
If  
(45)  
 $d(I, II) < \frac{1}{r^22^{r+3}}$ 

then  $j \leq \frac{1}{2}\lambda_t$ , k = 0, and (46)

**Proof.** If  $j > \frac{1}{2}\lambda_t$  then we can find subblocks  $I_1$  of I and  $II_1$  of II such that  $II_1$  is under  $I_1$  (see Figure 1) having the form (41) with different j's and with  $i' \neq i$ .

 $j \equiv 0 \pmod{nr2^{r+1}}.$ 





It follows from the Proposition 1 that  $d(I_1, II_1) \geq \frac{1}{r^{2^{r+2}}}$  and using (11) we obtain

$$d(I, II) \ge \frac{\frac{1}{2}\lambda_t}{r\lambda_t} d(I_1.II_1) \ge \frac{1}{r^2 2^{r+3}}.$$

in spite of (45). Therefore  $j \leq \frac{1}{2}\lambda_t$ .

It follows from (11) and (45) that

(47) 
$$d(I_i, II_i) < \frac{1}{r2^{r+2}}$$
 for  $i = 0, \dots, r-1$ ,

where

$$I_i = b_0(i)[0, \lambda_t - j - 1], \ II_i = b_k(i)[j, \lambda_t - 1].$$

Then (47) implies (43) to hold for each i = 0, ..., r - 1. In particular taking i = 0, 1 we get

 $-kr4 + 2kr4 = a_1nr4.$ 

Thus k = 0 in  $\mathbb{Z}_n$ . The Proposition is proved.

#### **Proposition 3** Let

$$I = b_k(i)b_{k+1}(i+1)[0, 2\lambda - j - 1], \quad j \le \frac{1}{2}\lambda; \ \lambda = \lambda_t,$$

$$\begin{split} II &= b_{k_1}(i)b_{k_2}(i+1)[j,2\lambda-1], \quad i=0,\ldots,r-2, \quad k,k_1,k_2 \in \mathbb{Z}_n\\ and \ k_2 &= k_1+1 \ or \ k_2 = k_1-1.\\ If \\ (48) \qquad \qquad d(I,II) < \frac{1}{r2^{r+4}}\\ then \end{split}$$

(49) 
$$(k_1k_2) = (k, k+1) \text{ or } (k_1k_2) = (k+4, k+3) \text{ if } n \ge 3$$

and

(50) 
$$(k_1k_2) = (k, k+1)$$
 if  $n = 2$ .

**Proof.** It follows from (48) and (11) that

$$d(I_1, II_1) < \frac{1}{r2^{r+2}}$$

and

$$d(I_2, II_2) < \frac{1}{r2^{r+2}}$$

where

$$I_1 = b_k(i)[0, \lambda - j - 1], \quad II_1 = b_{k_1}(i)[j, \lambda - 1],$$
  
$$I_2 = b_{k+1}(i+1)[0, \lambda - j - 1], \quad II_2 = b_{k_2}(i+1)[j, \lambda - 1].$$

Now, we apply the Proposition 1. It follows from (43) that

$$k - k_1 = 2(k + 1 - k_2) \pmod{n}$$

The above condition implies (49) and (50).  $\blacksquare$ 

### **Proposition 4** Let

$$I_{k} = b_{k}(r-1)b_{k}(0)[0, 2\lambda - j - 1] \text{ or } I'_{k} = b_{k}(r-1)b_{k+1}(0)[0, 2\lambda - j - 1],$$

$$II = b_{k_{1}}(r-1)b_{k_{2}}(0)[j, 2\lambda - 1], \quad k, k_{1}, k_{2} \in \mathbb{Z}_{n}, \quad j \leq \frac{1}{2}\lambda_{t},$$
and
$$(51) \qquad \qquad k_{2} = k_{1} \text{ or } k_{2} = k_{1} + 1.$$

$$If \qquad \qquad d(I, II) < \frac{1}{r2^{r+4}}, \quad I = I_{k} \text{ or } I'_{k},$$
then
$$(52) \qquad \qquad k_{1} = k_{2} = k \text{ if } I = I_{k} \text{ and } k_{1} = k, k_{2} = k + 1 \text{ if } I = I'_{k}$$
where ever

whenever

 $(2^{r-1} - 1, n) > 1,$ (53)

and there is a unique  $l \in \mathbb{Z}_n$  such that

(54) 
$$\begin{cases} (k_1k_2) = (kk) \text{ or } (k_1k_2) = (l, l+1) \text{ and } l \text{ satisfies} \\ l(2^{r-1}-1) = (2^{r-1}-1)k+1 \text{ in } \mathbb{Z}_n \text{ if } I = I_k, \\ and \\ (k_1k_2) = (k, k+1) \text{ or } (k_1k_2) = (ll) \text{ and } l \text{ satisfies} \\ l(2^{r-1}-1) = (2^{r-1}-1)k-1 \text{ in } \mathbb{Z}_n \text{ if } I = I'_k, \end{cases}$$

whenever

(55) 
$$(2^{r-1} - 1, n) = 1$$

**Proof.** Using the same arguments as in the proof of the Proposition 3 we obtain from (43)

$$(k_1 - k)2^{r-1} = k - k_2 \pmod{n}$$
 if  $I = I_k$ 

and

$$(k_1 - k)2^{r-1} = k - k_2 + 1 \pmod{n}$$
 if  $I = I'_k$ .

The above, (51), (53) and (55) imply (52) and (54) respectively.

The next Proposition is an easy consequence of (9) and the definition of the blocks  $b(0),\ldots,b(r-1).$ 

Proposition 5 Let

$$I_{l} = b_{l}(i)[0, \lambda_{t} - j - 1], \quad II_{k} = b_{k}(i)[j, \lambda_{t} - 1]$$
$$j \le \frac{1}{2}\lambda_{t}, \quad k, l \in \mathbb{Z}_{n}, \quad 0 \le i \le r - 1.$$

If  $j \equiv 0 \pmod{nr2^{r+1}}$  and  $k \neq l$  then

$$d(I_l, II_k) = 1.$$

### Proposition 6 Let

$$I = b^t \stackrel{r}{\times} C, \quad II = b^t \stackrel{r}{\times} D[j, j + \lambda_t |D| - 1], \quad 0 \le j \le r\lambda_t - 1$$

 $\begin{array}{ll} \mbox{where } |C| \geq 3r, |D| = |C| + r, \quad C, D \subset \omega_{t+1} \ (see \ (21)) \ and \ C = \omega_{t+1}[pr, pr + |C| - 1], D = \\ \omega_{t+1}[qr, qr + |D| - 1]. \ If \\ (56) \qquad \qquad d(I, II) < \delta, \ \delta < \frac{1}{3r^2 2^{r+3}}, \\ \mbox{then either} \\ (57) \qquad \qquad j < \delta r 2^{r+1} \lambda_t \ and \ d(C, D_1) < \delta \\ \mbox{or} \\ (58) \qquad \qquad r \lambda_t - \delta r 2^{r+1} \lambda_t < j \leq r \lambda_t \ and \ d(C, D_1) < \delta, \\ \mbox{where} \end{array}$ 

$$D_1 = D[0, |D| - r - 1] \text{ if } j \le \frac{1}{2}r\lambda_t,$$
$$D_1 = D[r, |D| - 1] \text{ if } j > \frac{1}{2}r\lambda_t.$$

**Proof.** We can represent C and D as

$$C = C_1 C_2 \dots C_s, \ D = D_1 D_2 \dots D_s D_{s+1},$$

where

$$|C_1| = \ldots = |C_s| = |D_1| = \ldots = |D_s| = |D_{s+1}| = r, \ s \ge 3,$$

and every  $C_1, \ldots, C_s, D_1, \ldots, D_{s+1}$  is equal to one of the blocks  $\bar{e}_k, \bar{e}_k^{(v)}, k \in \mathbb{Z}_n, v = 0, \ldots, r-1$  (see 3.5). Assume that  $j \leq \frac{1}{2}r\lambda_t$ . Using (12) we get

(59) 
$$d(I,II) = \frac{1}{s} \sum_{p=1}^{s} (b \stackrel{r}{\times} C_p, A_p),$$

where

$$A_p = (b \stackrel{r}{\times} D_p)(b \stackrel{r}{\times} D_{p+1})[j, j+r\lambda_t - 1].$$

Then (56) implies that

$$d(b \stackrel{r}{\times} C_p, A_p) < \frac{1}{3r^2 2^{r+3}}$$

for at least one p. Using the same arguments as in the proof of the Proposition 2 we obtain  $j \leq \frac{1}{2}\lambda_t$ .

Let

$$Q = \{1 \le p \le s, C_p \text{ and } D_p \text{ are equal } \bar{e}_k, \bar{e}_l \text{ for some } k, l \in \mathbb{Z}_n\}.$$

It follows from the definitions of  $\omega, \omega_t$  and  $b^{t's}$  that

$$\#Q \ge \frac{1}{3}s.$$

This inequality, (56), and (59), imply

$$\frac{1}{|Q||} \sum_{p \in Q} d(b \stackrel{r}{\times} C_p, A_p) < \frac{1}{r^2 2^{r+3}}.$$

Now we conclude that there is at least one  $p \in Q$  such that

$$d(b \stackrel{r}{\times} C_p, A_p) < \frac{1}{r^2 2^{r+3}}.$$

It follows from the Proposition 2 that  $j \equiv 0 \pmod{nr2^{r+1}}$ . Now, using (10) and (12) again we get (see Figure 2)

(60) 
$$d(I,II) = \frac{1}{r} \sum_{i=0}^{r-1} \frac{1}{s} ((1 - \frac{j}{\lambda_t}) \sum_{u=1}^s d(L_{ui}, M_{ui}) + \frac{j}{\lambda_t} \sum_{u=1}^s d(\bar{L}_{ui}, \bar{M}_{ui})),$$

where

$$L_{ui} = b_{C_u[i]}^t(i)[0, \lambda_t - j - 1], \quad M_{ui} = b_{D_u[i]}^t(i)[j, \lambda_t - 1],$$
  
$$\bar{L}_{ui} = b_{C_u[i]}^t(i)[\lambda_t - j, \lambda_t - 1], \quad \bar{M}_{ui} = b_{D_u[i]}^t(i + 1)[0, j - 1].$$



#### Figure 2

It is not hard to remark that if  $j \neq 0$ 

(61) 
$$d(\bar{L}_{ui}, \bar{M}_{ui}) \ge \frac{1}{r2^{r+1}}$$

for every u and i,  $1 \le u \le s$ ,  $0 \le i \le r - 1$ . Let

$$a = \#\{0 \le k \le |C| - 1, \ C[k] \ne D[k]\}.$$

Then using the Proposition 5, (60) and (61) we get

(62) 
$$\delta > d(I,II) \ge \frac{a}{|C|} (1 - \frac{j}{\lambda_t} + \frac{j}{\lambda_t} \frac{1}{r2^{r+1}}).$$

The above gives

$$\delta > \frac{a}{|C|}(1 - \frac{j}{\lambda_t}) \ge \frac{a}{|C|}\frac{1}{2}$$

and then  $\frac{a}{|C|} < 2\delta$ . This inequality, (56) and (62) imply

$$\delta > \frac{a}{|C|} + \frac{j}{\lambda_t} (\frac{1}{r2^{r+1}} - \frac{a}{|C|}) > \frac{a}{|C|} + \frac{j}{\lambda_t} (\frac{1}{r2^{r+1}} - 2\delta) > \frac{a}{|C|} = d(C, D_1).$$

We have obtained the second inequality of (57). To get the first inequality of (57) we use (62) to obtain

$$\delta > \frac{j}{\lambda_t} \frac{1}{r2^{r+1}}.$$

This implies (57). We have proved the Proposition if  $j \leq \frac{1}{2}r\lambda_t$ . The case  $\frac{1}{2}r\lambda_t < j < r\lambda_t$  leads to (58) in a similar way. The Proposition is proved.

#### Proposition 7 Let

$$I = B^{t} \stackrel{r}{\times} C, \quad II = B^{t} \stackrel{r}{\times} D[j, j + m_{t}|D| - 1], \quad 0 \le j \le p_{t} - 1,$$

where C and D satisfy the same conditions as in the Proposition 6. If

$$d(I,II) < \delta, \quad \delta < \frac{1}{3r^2 2^{r+3}},$$

then either

$$j < \delta r 2^{r+} p_t$$
 and  $d(C, D_1) < \delta$ 

or

$$p_t - \delta r 2^{r+1} p_t < j < p_t \text{ and } d(C, D_1) < \delta$$

where

$$D_1 = D[0, |D| - r - 1]$$
 if  $j \le \frac{1}{2}p_t$ ,

and

$$D_1 = D[r, |D| - 1]$$
 if  $r > \frac{1}{2}p_t$ .

**Proof.** We use an induction argument and can repeat the proof of Lemma 3 from [FiKw] using (8), (9) and the Proposition 6 instead of Lemma 2 from [FeKw]. ■

### 3.6 *d*-bar distance between blocks - the case 3.2.

Using the same methods as in 3.5 we can estimate the distance between blocks  $b_k^t(i)$  and  $B_k^t(i)$ ,  $i = 0, \ldots, r-1$ ,  $k \in \mathbb{Z}_n$ ,  $t \ge 0$ , defined in the case 3.2.

As an easy consequence of the Proposition 1 we get

Proposition 8 Let

$$I_l = b_{l_0}(0) \dots b_{l_{r-1}}(r-1)[0, r\lambda_t - j - 1],$$
  
$$II_k = b_{k_0}(0) \dots b_{k_{r-1}}(r-1)[j, r\lambda_t - 1],$$

 $j \leq \frac{1}{2}r\lambda_t$ , where  $(l_0, \ldots, l_{r-1})$  (resp.  $(k_0, \ldots, k_{r-1})$ ) is of the form  $\bar{e}_l$  or  $\bar{e}_l^{(v)}$  (resp.  $\bar{e}_k$  or  $\bar{e}_k^{(v')}$ ),  $k, l \in \mathbb{Z}_n$  and  $v, v' = 0, \ldots, r-1$ . If

$$d(I_l, II_k) < \frac{1}{r^2 2^{r+3}}$$

then  $j \leq \frac{1}{2}\lambda_t$  and there is a unique  $s \in \mathbb{Z}_n$ , s = s(t), such that  $l_i = k_i + s$  for every  $i = 0, \ldots, \overline{r} - 1$ . Moreover j has a form

 $j = (n-s)r4 + anr4, \quad a \ge 0.$ 

As an analogue of the Proposition 5 we obtain

**Proposition 9** Let  $I_l, II_k$  be as in the Proposition 5,

$$j \leq \frac{1}{2}\lambda_t \text{ and } j \equiv (n-s) \pmod{4rn}$$

for some  $s \in \mathbb{Z}_n$ . Then

$$d(I_l, II_k) = 1$$
 whenever  $k - l \neq s$ 

Then using the Propositions 8 and 9 we have

Proposition 10 Let I and II be as in the Proposition 6 and

$$|C| \ge r, |D| = |C| + r, \quad C, D \subset \omega_{t+1},$$
  
$$C = \omega_{t+1}[pr, pr + |C| - 1], \quad D = \omega_{t+1}[qr, qr + |D| - 1].$$

If

$$d(I,II)<\delta,\quad \delta<\frac{1}{3r^22^{r+3}},$$

then there is an unique  $s \in \mathbb{Z}_n$ , s = s(t), such that

 $j < \delta r 2^{r+1} \lambda_t$  and  $d(C, D_1) < \delta$ 

or

$$r\lambda_t - \delta r 2^{r+1}\lambda_t < j \le r\lambda_t$$
 and  $d(C, D_1) < \delta$ 

 $r\lambda_t - \delta r 2^{j+1}\lambda_t < j \le r\lambda_t \text{ and } d(C, D_1) < \delta$ where  $D_1 = D[0, |D| - r - 1] = C + s$  if  $j \le \frac{1}{2}\lambda_t r$ , and  $D_1 = D[r, |D| - 1] = C + s$  if  $j > \frac{1}{2}r\lambda_t.$ 

Using arguments as in Lemma 3 in [FiKw] and the Proposition 10 we get

**Proposition 11** Let I and II be as in the Proposition 7 and C, D satisfy the same conditions as in the Proposition 10.

If

$$d(I,II) < \delta, \quad \delta < \frac{1}{3r^2 2^{r+3}},$$

there exists an unique  $s \in \mathbb{Z}_n$ , s = s(t), such that either

$$j < \delta r 2^{r+1} p_t$$
 and  $d(C, D_1) < \delta$ 

or

$$p_t \delta r 2^{r+1} p_t < j < p_t \text{ and } d(C, D_1) < \delta$$

where

$$D_1 = D[0, |D| - r - 1] + s \text{ if } j \le \frac{1}{2}p_t$$

and

$$D_1 = D[r, |D| - 1] + s \text{ if } j > \frac{1}{2}p_t$$

#### 3.7The centralizer of $T_{\varphi}$ (continuation).

In 3.4 we have proved that  $C(T_{\varphi})$  consists of the elements  $R \circ \sigma_a$ , where R is a limit of powers of  $T_{\varphi}$  and  $\sigma_a$  is defined by (4),  $a \in \mathbb{Z}_n$ . Now we are in a position to show that  $\# \frac{C(T_{\varphi})}{wcl\{T_{\varphi}^n; n \in \mathbb{Z}\}} = \begin{cases} n & \text{in the case } 3.1, \\ 1 & \text{in the case } 3.2 \end{cases}$ 

**Lemma 3** If the case 3.1 holds and  $\sigma_a \in C_1$  then a = 0.

**Proof.** Let us suppose that  $T_{\varphi}^{n_s} \rightharpoonup \sigma_a, \ a \in \mathbb{Z}_n$ . Then Corollary 1 says that  $\varphi^{(n_s)} \longrightarrow a$ in measure. Let  $\{ r \in X \cdot \phi^{(n_s)}(x) \neq a \}.$ (63)

) 
$$\varepsilon_s = \mu\{x \in X; \varphi^{(n_s)}(x) \neq a\}$$

We have  $\varepsilon_s \longrightarrow 0$ . Now for every s find  $t_s$  such that

(64) 
$$\frac{n_s}{p_{t_s}} < \frac{\varepsilon_s}{r}.$$

To shorten notation we let  $t := t_s + 1$ ,  $\bar{t} := t_s$ . Take  $x \in D_j^t$ . Then using (18) we get

(65) 
$$\varphi^{(n_s)}(x) = B^t[j + n_s] - B^t[j]$$

except of j's satisfying  $m_t - 1 - n_s \le j \le m_t - 1$ ,  $2m_t - 1 - n_s \le j \le 2m_t - 1$ ,  $\dots, p_t - 1$  $1 - n_s \leq j \leq p_t - 1$ . Then (63) and (64) imply

$$\frac{1}{p_t} \#\{0 \le j \le p_t - 1, \ B^t[j + n_s] - B^t[j] \ne a\} < \varepsilon_s + \varepsilon_s = 2\varepsilon_s$$

This means that

$$d(B^{t}[0, p_{t} - n_{s} - 1], B^{t}_{-a}[n_{s}, p_{t} - 1]) < 2\varepsilon_{s}$$

We can write

$$B^t = B^{\overline{t}} \stackrel{r}{\times} b^t, \quad B^t_{-a} = B^{\overline{t}} \stackrel{r}{\times} b^t_{-a}.$$

If  $\varepsilon_s < \frac{1}{6r^2 2^{r+3}}$  then we apply Proposition 7 to the blocks  $I = B^{\bar{t}} \stackrel{r}{\times} b^t$  and  $II = B^{\bar{t}} \stackrel{r}{\times}$  $b_{-a}^t$ . As a consequence we obtain

$$d(b^t, b^t_{-a}) < 2\varepsilon_s$$

This equality implies (Proposition 2) a = 0. The Lemma is proved.

¿From Lemmas 2 and 3 we obtain

**Theorem 2**  $\# \frac{C(T_{\varphi})}{wcl\{T_{\varphi}^n, n \in \mathbb{Z}\}} = n$  if the case 3.1 holds.

Now, we examine the case 3.2. It follows from the definition of the blocks  $b_0(i) =$  $b_0^t(i), i = 0, \dots, r-1, a \in \mathbb{Z}_n$  that

(66) 
$$b(i)[(n-a)4r, \lambda - 1] = b_a(i)[0, \lambda - (n-a)4r - 1],$$

for every i = 0, ..., r - 1.

Set  $n_t = (n-a)4rp_{t-1}$ . Then (66) implies

$$B^t(i)[j+n_t] - B^t(i)[j] = a$$

for  $j = 0, ..., p_t - n_t - 1$ , and i = 0, ..., r - 1. (65) and the above imply  $\varphi^{(n_t)}(x) = a$ except of a set of measure  $< r \frac{n_t}{p_t} \le \frac{4r^2 n}{\lambda_t}$ .

Hence  $\varphi^{(n_t)} \longrightarrow a$  in measure which implies that  $T_{\varphi}^{n_t} \rightharpoonup \sigma_a, a \in \mathbb{Z}_n$ . We have shown that  $\sigma_a \in C_1$  for every  $a \in \mathbb{Z}_n$  and as a consequence of Lemma 2 we get

**Theorem 3**  $\# \frac{C(T_{\varphi})}{wcl\{T_{\varphi}^n; n \in \mathbb{Z}\}} = 1$  if the case 3.2 holds.

**Theorem 3'**  $wcl\{T_{\varphi}^{n}, n \in Z\}$  is uncountable. **Proof.** Let  $g_{0} = \sum_{0}^{\infty} u_{t}p_{t-1}, \quad u_{t} = w_{t}(rm2^{r+1})$  in the case (3.1) and  $u_{t} = w_{t}(4rn)$  in the case (3.2)  $0 \leq u_{t} \leq r\lambda_{t} - 1$  and assume that

$$\sum_{t=0}^{\infty}\min(\frac{w_t}{r2^t}, 1-\frac{w_t}{r2^t}) < \infty.$$

Repeating the same arguments as in Lemma 4 of [GoKwLeLi] we can construct a measurable function  $f: X \longrightarrow G$  such that

$$f(Tx) - f(x) = \varphi(Sx) - \varphi(x)$$
, for a. e.  $x \in X$ .

Thus the triple  $R = (S, f, id) \in C(T_{\varphi})$ . Of course, there is a continuum of  $g_0$ 's in X satisfying the above conditions. Hence  $C(T_{\varphi})$  is uncountable. Then Theorem 2 and 3 imply  $wcl\{T_{\varphi}^n, n \in Z\}$  is uncountable.

#### Rank of $T_{\varphi}$ is r. 4

In this section we use the shift representation  $(\Omega_{\omega}, T_{\sigma})$  of  $(X \times \mathbb{Z}_n, T\varphi)$  (see 2.3) and the definition of rank given in 2.2.

#### The frequencies of *t*-symbols and an estimation of the rank. 4.1

Let  $Fr(E, \omega)$  be the average frequency of a t-symbol E (see 3.5) appearing in  $\omega$  at natural positions. Similarly, let  $Fr(e, \omega_t)$  denote the average frequency of a "small" t-symbol e appearing in  $\omega_t$  at natural positions. It is easy to get the following equalities;

(67) 
$$\begin{cases} Fr(E_k,\omega) = Fr(e_k,\omega_t) = \frac{1}{rn} \sum_{i=0}^{r-1} (1 - \frac{1}{2^{i+2}}) = \frac{1}{n} [1 - \frac{1}{r} \sum_{i=0}^{r-1} \frac{1}{2^{i+2}}] \\ \text{and} \\ Fr(E_k^{(s)},\omega) = Fr(e_k^{(s)},\omega_t) = \frac{1}{rn2^{s+2}}, \quad s = 0, \dots, r-1, \quad k \in \mathbb{Z}_n, \end{cases}$$

if the case 3.1 holds. In the case 3.2 we have

(68) 
$$\begin{cases} Fr(E_k,\omega) = Fr(e_k,\omega_t) = \frac{3}{4n}, \\ Fr(E_k^{(s)},\omega) = Fr(e_k^{(s)},\omega_t) = \frac{1}{4nr}, \quad k \in \mathbb{Z}_n, \ s = 0, \dots, r-1. \end{cases}$$

**Proposition 12**  $r(T_{\varphi}) \leq r$ .

**Proof.** Consider the blocks

$$L_k^{(s)} = L_k^{(s)}(t) = B^t \stackrel{r}{\times} b_k^{t+1}(s), \quad s = 0, \dots, r-1, \ t \ge 0, \ k \in \mathbb{Z}_n.$$

We have

$$E_k = L_k^{(0)} \dots L_k^{(r-1)}, \quad E_k^{(s)} = L_k^{(0)} \dots L_k^{(s-1)} L_{k+1}^{(s)} L_k^{(s+1)} \dots L_k^{(r-1)}$$

for every  $k \in \mathbb{Z}_n$  and  $s = 0, \ldots, r - 1$ .

Because the blocks  $E_k, E_k^{(s)}$  cover completely the sequence  $\omega$  then the blocks  $L_k^{(0)} \dots L_k^{(r-1)}, k \in \mathbb{Z}_n$ , also cover  $\omega$ .

We know that

$$b^{t+1}(s)[0,\lambda_{t+1} - knr2^{r+1}] = b^{t+1}_{-k}(0)[knr2^{r+1},\lambda_{t+1} - 1],$$
  

$$k \in \mathbb{Z}_n, s = 0, \dots, r-1, \quad \text{if 3.1 holds,}$$

and

$$b^{t+1}(s)[0, \lambda_{t+1} - knr4] = b^{t+1}_{-k}(0)[knr4, \lambda_{t+1} - 1],$$
  

$$k \in \mathbb{Z}_n, s = 0, \dots, r-1, \quad \text{if 3.2 holds.}$$

The last equalities imply that the block  $L_0^{(s)}$  cover each block  $L_k^{(s)}$ ,  $k \in \mathbb{Z}_n$ , except of a part with the length  $\leq n^2 2^{r+1} p_t$  in the case 3.1 and  $\leq n^2 4 p_t$  in the case 3.2, for  $s = 0, \ldots, r - 1$ . Thus the blocks  $L_0^{(0)}, \ldots, L_0^{(r-1)}$  cover the sequence  $\omega$  except of a part with the density  $\leq \frac{n^2 2^{r+1}}{\lambda_{t+1}}$  if 3.1 holds and  $\leq \frac{n^2 4}{\lambda_{t+1}}$  if 3.2 holds. Simultaneously  $|L_0^{(s)}(t)| \xrightarrow{t \to \infty} \infty$ . According to the definition of the rank (see 2.2) we have  $r(T_{\varphi}) \leq r$ .

### 4.2 Special subblocks of $\omega_t$ .

Fix  $t \geq 0$ . We distinguish special subblocks C of  $\omega_t$  of the form  $b^t \times^r \bar{C}$ , where  $\bar{C}$  is a strict subblock of one of the following blocks (cf. 3.5)

(69) 
$$\begin{cases} e_k e_k, \ e_k e_k^{(s)}, \ e_k^{(s)} e_{k+1}, \ k \in \mathbb{Z}_n, \ s = 0, \dots, r-1, \\ \text{where } e_k = e_k(t+1), \ e_k^{(s)} = e_k^{(s)}(t+1), \\ \text{if the case } 3.2 \text{ is considered}, \end{cases}$$

or

(70) 
$$\begin{cases} e_k e_k e_k e_k, e_k e_k e_k e_k^{(s)}, e_k e_k e_k^{(s)} e_{k+1}, e_k e_k^{(s)} e_{k+1} e_{k+1}, e_k^{(s)} e_{k+1} e_{k+1} e_{k+1} \\ k \in \mathbb{Z}_n, s = 0, \dots, r-1, \\ \text{if the case 3.1 is considered.} \end{cases}$$

Notice that blocks (69) are all pairs of "small" (t+1)-symbols appearing in  $\omega_{t+1}$ , as well as the blocks (70) are all possible quadruples of "small" (t+1)-symbols appearing in  $\omega_{t+1}$ . Let us list the different cases we shall deal with afterwards;

$$\mathbf{A})\bar{C} \subset b_{k_0}^{t+1}(i_0) \text{ for some } k_0 \in \mathbb{Z}_n \text{ and } i_0 = 0, \dots, r-1 \text{ (cases 3.1 or 3.2);} \\ \mathbf{B}) \text{ (the case 3.2) } \bar{C} = b_{k_{i_0}}(i_0) \dots b_{k_{r-1}}(r-1) \mid b_{l_0} \dots b_{l_{i_1}}(i_1) \text{ where } b(i) = b^{t+1}(i), \ i_0 > 0, \ i_1 < r-1. \\ E := (k_{i_0} \dots k_{r-1} l_0 \dots l_{i_1}) \text{ is contained in one of the following blocks;}$$

(71) 
$$\bar{e}_k \bar{e}_k, \bar{e}_k \bar{e}_k^{(s)}, \bar{e}_k^{(s)} \bar{e}_{k+1}, \ k \in \mathbb{Z}_n, \ s = 0, \dots, r-1,$$

and  $2 \le |E| < 2r;$ 

**B')** (the case 3.1)  $\bar{C} = b_{k_{i_0}}(i_0)..b_{k_{r-1}}(r-1) | b_{u_0}(0)..b_{u_{r-1}}(r-1) | b_{v_0}(0)..b_{v_{r-1}}(r-1) | b_{l_0}(0)..b_{l_{i_1}}(i_1)$ and  $E = (k_{i_0}...k_{r-1} | u_0...u_{r-1} | v_0...v_{r-1} | l_0...l_{i_1}), 2 \leq |E| < 4r, i_0 > 0, i_1 < r-1$ , is contained in one of the blocks

(72) 
$$\bar{e}_k \bar{e}_k \bar{e}_k \bar{e}_k \bar{e}_k \bar{e}_k \bar{e}_k \bar{e}_k \bar{e}_k^{(s)}, \bar{e}_k \bar{e}_k \bar{e}_k^{(s)} \bar{e}_{k+1}, \bar{e}_k \bar{e}_k^{(s)} \bar{e}_{k+1} \bar{e}_{k+1}, \bar{e}_k^{(s)} \bar{e}_{k+1} \bar{e}_{k+1} \bar{e}_{k+1} \bar{e}_{k+1}$$

In general we can write

$$\bar{C} = \bar{C}_1 \bar{C}_2 \bar{C}_3$$

where  $\bar{C}_2$  is as in A) or as in B) (the case 3.2) or B') (the case 3.1),

(73)

(74) 
$$\begin{cases} \bar{C}_1 = b_{k'}^{t+1}(i_0 - 1)[l_1r, \lambda - 1], & \bar{C}_3 = b_{k''}^{t+1}(i_1 + 1)[0, l_2r - 0] \\ 0 < l_1 \le \lambda - 1, & 0 < l_2 \le \lambda - 1, & \lambda = \lambda_{t+1}, \end{cases}$$

and k'Ek'' is contained in one of the blocks (71) or (72) respectively (E is defined by  $\overline{C}_2$ ).

Then we can distinguish the next special kinds of blocks (73) for given  $\delta > 0$ :

**G1)** 
$$\frac{|C_1|}{|\overline{C}|} > \delta \text{ and } \frac{|C_3|}{|\overline{C}|} > \delta,$$

- $\frac{|\bar{C}_1|}{|\bar{C}|} > \delta \text{ and } \frac{|\bar{C}_3|}{|\bar{C}|} \le \delta,$ G2)
- G3)
- $\frac{|\bar{C}_1|}{|\bar{C}|} \leq \delta \text{ and } \frac{|\bar{C}_3|}{|\bar{C}|} > \delta,$  $\frac{|\bar{C}_1|}{|\bar{C}|} \leq \delta \text{ and } \frac{|\bar{C}_3|}{|\bar{C}|} \leq \delta.$ G4)

**4.3** 
$$r(T_{\varphi}) = r$$
: the case **3.2**.

Take  $0 < \delta^2 < \frac{1}{r^2 2^{2r+3}}$ .

**Proposition 13** Assume that  $\overline{C}$  is as in B) and let  $d(C, D) < \delta^2, D \subset \omega_t$ . Then D has a form

(75) 
$$D = (b^t \times \overline{D})[j, j + |D| - 1], \text{ where } \overline{D} \subset \omega_{t+1}$$

and  
(76) 
$$\begin{cases} \bar{D} = b_{k'_{i_0}}^{t+1}(i_0) \dots b_{k'_{r-1}}^{t+1}(r-1) \mid b_{l'_0}^{t+1}(0) \dots b_{l'_{i_1}}^{t+1}(i_1) b_{l'_{i_1+1}}^{t+1}(i_1+1), \\ and \ j < \delta^2 r 2^{r+1} \lambda_{t+1}, \ l'_{i_1+1} \in \mathbb{Z}_n \end{cases}$$

or

(77) 
$$\begin{cases} \bar{D} \text{ is as in (76) and} \\ j > r\lambda_{t+1} - \delta^2 r 2^{r+1} \lambda_{t+1} \end{cases}$$

Moreover, there is a unique  $s_0 \in \mathbb{Z}_n$  such that

$$(k'_0 \dots k'_{r-1} \mid l'_0 \dots l'_{i_1}) = (k_0 \dots k_{r-1} \mid l_0 \dots l_{i_1}) + s_0$$

if (76) holds and

$$(k'_1 \dots k'_{r-1} \mid l'_0 \dots l'_{i_1+1}) = (k_0 \dots k_{r-1} \mid l_0 \dots l_{i_1}) + s_0$$

if (77) holds.

**Proof.** The Proposition is an easy consequence of the Proposition 10 where t is taken instead of t + 1 ( $\delta^2 < \frac{1}{r^{2}2^{2r+3}} < \frac{1}{3r^{2}2^{r+3}}$ ).

Given a block  $A \subset \omega$  or  $\omega_t$ ,  $A = \omega[l, l + |A| - 1]$  we define  $A(\delta)$  as  $A(\delta) =$  $\omega[l-\delta|A|, l+|A|+\delta|A|-1], \delta > 0$ . The next Proposition says that if C is as in G1), G2), G3), or G4), there is a block  $C' = b^t \times \widetilde{C}$  such that  $\widetilde{C}$  is as in B) and either  $\widetilde{C}$ contains  $\overline{C}$  or  $\overline{C}$  is contained in  $\widetilde{C}(\delta_1)$ , where  $\delta_1 < \delta^2 r 2^{r+1}$ .

1],

**Proposition 14** Let  $C = b^t \stackrel{r}{\times} \overline{C}$  and let  $\overline{C}$  be as in G1), G2), G3) or G4). Assume that

(78) 
$$d(C, \omega_t[l, l+|C|-1]) < \frac{\delta^2}{3}.$$

Then

$$d(C', \omega_t[l', l' + |C'| - 1]) < \delta^2$$

where  $C' = b^t \stackrel{r}{\sim} \widetilde{C}$ ,  $\widetilde{C} \subset \omega_{t+1}$  and **g1**)  $\widetilde{C} = b^{t+1}_{k'}(i_0 - 1)\overline{C_2}b^{t+1}_{k''}(i_1 + 1)$ ,  $l' = l - l_1r$  (cf. (73), (74)), if G1) holds, **g2**)  $\widetilde{C} = b^{t+1}_{k'}(i_0 - 1)\overline{C_2}$ ,  $l' = l - l_1r$ , if G2) holds, **g3**)  $\widetilde{C} = \overline{C_2}b^{t+1}_{k''}(i_1 + 1)$ , l' = l, if G3) holds, **g4**)  $\widetilde{C} = \overline{C_2}$ , l' = l, if G4) holds.

**Proof.** Consider the case G2). Then (11) and (78) imply  $(C_2 = b^t \times \overline{C}_2)$ 

$$d(b^t \stackrel{\tau}{\times} \bar{C}_2, \omega_t[\bar{l}_2, \bar{l}_2 + |C_2| - 1]) < \delta^2$$

where  $\bar{l_2} = l + |b^t \times \bar{C_1}|.$ 

It follows from the Proposition 13 that  $\omega_t[\bar{l_2}, \bar{l_2} + |C_2| - 1]$  is of the form (75). Assume that the case (76) holds. Set

$$C_1 = C_1[0, |C_1| - j - 1],$$
$$\widetilde{D_1} = \omega_{t+1}[\frac{1}{\lambda_t}(l-j), \frac{1}{\lambda_t}(l-j) + |\widetilde{C_1}| - 1] \qquad (\text{see Figure 3}).$$



Figure 3

If follows from the Proposition 8 that

(79) 
$$j \equiv (n - s_0)r4 \pmod{4nr}.$$

The fragment of  $\omega_{t+1}$  from the left side of  $b_{k'_0}^{t+1}(i_0)$  having the length  $\lambda_{t+1}$  is of a form  $b_u^{t+1}(i_0-1)$  and either  $u = k' + s_0$  or  $u = k' + s_0 + 1$ . Assume that  $u = k' + s_0 + 1$ . Then the Proposition 9 implies (80)  $d(\widetilde{C}_1, \widetilde{D}_1) = 1$ .

Let  $\bar{D}_1$  denote the block  $\omega_{t+1}[\frac{1}{\lambda_t}(l-j), \frac{1}{\lambda_t}(l-j) + |\bar{C}_1| - 1]$  (see Figure 3). Obviously we have

$$\frac{|C_1|}{|C|} d(\bar{C}_1, \bar{D}_1) \stackrel{(11),(8)}{\leq} d(C, \omega_t[l, l+|C|-1]) < \delta^2.$$

Further

$$\begin{split} \delta^{2} &> \frac{|\bar{C}_{1}|}{|C|} d(\bar{C}_{1}, \bar{D}_{1}) > \delta d(\bar{C}_{1}, \bar{D}_{1}) \stackrel{(11)}{\geq} \frac{|\tilde{C}_{1}|}{|\bar{C}_{1}|} \delta d(\widetilde{C}_{1}, \widetilde{D}_{1}) \stackrel{(80)}{=} \frac{|\bar{C}_{1}| - j}{|\bar{C}_{1}|} \delta \\ &= \delta (1 - \frac{j}{|C_{1}|}) \stackrel{(G2)}{\geq} \delta (1 - \frac{j}{\delta|\bar{C}|}) \stackrel{(76)}{\geq} \delta (1 - \frac{\delta^{2} r 2^{r+1} \lambda_{t+1}}{\delta|\bar{C}|}) \geq \delta (1 - \delta r 2^{r+1}), \\ \text{because } |\bar{C}| \geq \lambda_{t+1}. \end{split}$$

Thus

$$1 - \delta r 2^{r+1} < \delta$$

which is in contradiction with the inequality  $\delta^2 < \frac{1}{r^2 2^{2r+3}}$ . We have shown  $u - k' = s_0 = k'_0 - k_0$ .

Now, using (79) and the definition of  $b_{k'}(i_0 - 1)$  and  $b_u(i_0 - 1)$  we obtain  $C[v] = \omega_t[l' + v]$  for each  $v = 0, \ldots, |\bar{C}_1| - 1, l' = l - l_1 r$  (see (74)). This last equality implies g2). The proofs of the remaining cases are similar.

**Proposition 15** Assume that  $\mathcal{F} = \{C_1, \ldots, C_d\}, d \leq r - 1$ , is a family of subblocks of  $\omega_t$  such that

(81) 
$$C_j = b^t \times \bar{C}_j \text{ and each } \bar{C}_j \text{ is as in } B$$

Let  $\omega_t(\mathcal{F})$  be the maximal subsequence of  $\omega_t$  that can be  $\delta^2$ -covered by the family  $\mathcal{F}$  in a disjoint way,  $\delta^2 < \frac{1}{r^2 2^{2r+3}}$ , and let  $\bar{\omega}_t(\mathcal{F})$  be the complementary part of  $\omega_t$ . Then it is an union of at least (r-d) blocks  $b^t \stackrel{r}{\times} b^{t+1}(i_j)$ ,  $j = 1, \ldots, r-d$ .

**Proof.** Denote by  $\mathcal{F}_i$  the set of all blocks  $C \in \mathcal{F}$  such that  $\overline{C} \delta^2$ -covers a subblock of  $\omega_{t+1}$  containing one of the form

$$b_1^{t+1}(i)b^{t+1}(i+1), \ i=0,\ldots,r-2$$

and by  $\mathcal{F}_{r-1}$  those C for which  $\overline{C} \ \delta^2$ -covers a block containing  $b^{t+1}(r-1)b^{t+1}(0)$ . We show that  $\mathcal{F}_i \cap \mathcal{F}_j = \emptyset$  whenever  $i \neq j$ . Take  $C \in \mathcal{F}_i, D \in \mathcal{F}_j$  and let  $\overline{C}, \overline{D}$  be the blocks defined by (81),  $\overline{C}$  as in B) and

$$\bar{D} = b_{k'_{i'_0}}^{t+1}(i'_0) \dots b_{k'_{r-1}}^{t+1}(r-1) \mid b_{l'_0}^{t+1}(0) \dots b_{l'_{i'_1}}^{t+1}(i'_1).$$

If  $(i_0 \dots (r-1) \mid 0 \dots i_1) \neq (i'_0 \dots (r-1) \mid 0 \dots i'_1)$  then  $C \neq D$ . If  $(i_0 \dots (r-1) \mid 0 \dots i_1) = (i'_0 \dots (r-1) \mid 0 \dots i'_1)$  then using the Proposition 13 we obtain

$$(k_{i_0} \dots k_{r-1} \mid l_0 \dots l_{i_1}) = (k'_{i_0} \dots k'_{r-1} \mid l'_0 \dots l'_{i_1}) + s_0$$

for some  $s_0 \in \mathbb{Z}_n$ . The last condition is impossible since  $i \neq j$ . The Proposition follows because  $\#\{\mathcal{F}_i; 0 \leq i < r\} = r$ .

### **Theorem 4** $r(T_{\varphi}) = r$ .

**Proof.** According to the Proposition 12 it remains to show that  $r(T_{\varphi}) > r - 1$ . Let  $\frac{\delta^2}{9} < \frac{1}{r^2 2^{2r+3}}$  and let  $A_1, \ldots, A_x$  be blocks occurring in  $\omega$ ,  $|A_i| \ge p_{t_0}$  and  $t_0$  satisfies  $\frac{r}{\lambda_t} < \delta^2 r 2^{r+1}$ , if  $t \ge t_0$ ,  $x \le r-1$ . For each  $u = 1, \ldots, x$  there exists an unique t = t(u) such that  $A_u$  contains at least one t-symbol and does not contain any (t+1)-symbol. Then  $A_u$  has a form

(82) 
$$A_u = \widetilde{E_1} (B^{t-1} \times C_u) \widetilde{E_2},$$

where  $C_u \subset \omega_t$  is as in 4.2,  $|C_u| = qr$ ,  $q = q(u) \ge 1$ ,  $E_1$  is a right-side part of a *t*-symbol and  $E_2$  is a left-side part of a *t*-symbol. We divide the set  $\{t(1), \ldots, t(x)\}$  by arithmetic order. More precisely, we put

$$\tau_1 = \max\{t(1), \dots, t(x)\}, T_1 = \{u; t(u) = \tau_1\}, d_1 = \#T_1$$

Next we define

$$\tau_2 = \max\{t(u); u \notin T_1\}, \ T_2 = \{u; t(u) = \tau_2\}, \ d_2 = \#T_2.$$

Similarly we define sets  $T_3, \ldots, T_v$ , numbers  $\tau_3, \ldots, \tau_v$  and  $d_3, \ldots, d_v$ . We have

$$\tau_1 > \ldots > \tau_v, \ d_1 + \ldots + d_v = x$$

Let

$$\mathcal{A}_p = \{A_u; u \in T_p\}, \ p = 1, \dots, v$$

The families  $\mathcal{A}_1, \ldots, \mathcal{A}_v$  are pairwise disjoint and  $\bigcup_{p=1}^v \mathcal{A}_p = \{A_1, \ldots, A_x\}$ . Consider the family  $\mathcal{A}_1$ . Assume that

$$\mathcal{A}_1 = \{A_1, \ldots, A_{d_1}\}.$$

Then

$$C_u = b^t \stackrel{r}{\times} \bar{C_u}$$

and

$$\bar{C}_u \subset \omega_{t+1}, \ u \in T_1, \ t = \tau_1,$$

If  $d(A_u, \omega[\tilde{l}, \tilde{l} + |A_u| - 1]) < \frac{\delta^2}{9}$  then by (11), (8),

(83) 
$$d(B^{t-1} \stackrel{r}{\times} C_u, \omega[l, l+m_{t-1}|C_u|-1]) < \frac{\delta^2}{3}$$

where  $l = \tilde{l} + |\tilde{E}_1|$ .

According to the Proposition 11

(84) 
$$d(C_u, \omega_t[l', l' + |C_u| - 1]) < \frac{\delta^2}{3}$$

for some  $l' \in \mathbb{Z}$  and (85)

$$|l - p_t l'| < \frac{1}{3} \delta^2 r 2^{r+1} p_t.$$

We can write

$$\bar{C}_{u} = \bar{C}_{u}{}^{(1)} \bar{C}_{u}{}^{(2)} \bar{C}_{u}{}^{(3)}$$

according to (73).

We distinguish among the blocks  $A_1, \ldots, A_{d_1}$  three types  $\mathcal{F}_1, \mathcal{F}_2, \mathcal{F}_3$ , as follows;

$$A_u \in \mathcal{F}_1$$
 if  $C_u$  is as in A) or G4),  
 $A_u \in \mathcal{F}_2$  if  $C_u$  is as in G1), G2), or G3)  
 $A_u \in \mathcal{F}_3$  if  $C_u$  is as in B).

Let  $d_{11} = \# \mathcal{F}_1$ ,  $d_{12} = \# \mathcal{F}_2$ ,  $d_{13} = \# \mathcal{F}_3$ . We have

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$$d_{11} + d_{12} + d_{13} = d_1.$$

Let  $\omega(A_1, \ldots, A_{d_1})$  be a subsequence of  $\omega$  that is  $\frac{\delta^2}{9}$ -covered by the blocks  $A_1, \ldots, A_{d_1}$ in a disjoint way. By  $\omega(\mathcal{F}_i)$ , i = 1, 2, 3, we denote the subsequence of  $\omega \frac{\delta^2}{9}$ -covered in a disjoint way by the families  $\mathcal{F}_i$ . Of course,  $\omega(A_1, \ldots, A_{d_1}) \subset \omega(\mathcal{F}_1) \cup \omega(\mathcal{F}_2) \cup \omega(\mathcal{F}_3)$ . Denoting by  $\bar{\omega}(A_1,\ldots,A_{d_1})$ ,  $\bar{\omega}(\mathcal{F}_i)$  the complementary parts of  $\omega(A_1,\ldots,A_{d_1})$ ,  $\omega(\mathcal{F}_i)$ , i =1, 2, 3, respectively, we have

$$\bar{\omega}(A_1,\ldots,A_{d_1}) \supset \bar{\omega}(\mathcal{F}_1) \cap \bar{\omega}(\mathcal{F}_2) \cap \bar{\omega}(\mathcal{F}_3).$$

According to (83),(84),(85) and the Proposition 15 we have that  $\bar{\omega}(\mathcal{F}_3)$  is an union of at least

(86) 
$$(r-d_{13})$$
 blocks  $E(\delta_1)$ ,

where

(87) 
$$\begin{cases} E = B^t \stackrel{r}{\times} b^{t+1}(i_j), \quad j = 1, \dots, r - d_{13}, \text{ and} \\ \delta_1 \le 2\delta^2 r 2^{r+1}, \end{cases}$$

because of  $\frac{|\widetilde{E}_1|}{|A_u|} \stackrel{(84)}{\leq} \frac{p_t}{m_{t+1}} = \frac{r}{\lambda_{t+1}} < \frac{1}{2}\delta_1$ , and  $\frac{|\widetilde{E}_2|}{|A_u|} < \frac{1}{2}\delta_1$ . Consider the family  $\mathcal{F}_2$ . Let  $A_u \in \mathcal{F}_2$ . If  $A_u = \frac{\delta^2}{9}$ -covers a fragment  $I_u$  of  $\omega$  then (83) and (84) imply that  $\bar{C}_u \frac{\delta^2}{3}$ -covers a fragment  $I_u = I_u(t)$  of  $\omega_{t+1}$  and (85) implies

$$I_u \subset (B^t \times I_u(t))(\delta_1).$$

It follows from the Proposition 14 that there is  $A_{\bar{u}}$  of a form as in  $\mathcal{F}_3$  such that  $\widetilde{C}_{\bar{u}} = \frac{\delta^2}{3}$ . covers another fragment  $I_{\bar{u}}(t)$  of  $\omega_{t+1}$  such that

$$I_u(t) \subset I_{\bar{u}}(t)(\delta).$$

Applying the Proposition 15 to the family  $\{A_{\bar{u}}\}\$  we obtain that  $\bar{\omega}(\mathcal{F}_3) \cap \bar{\omega}(\mathcal{F}_2)$  is an union of at least  $(r - d_{13} - d_{12})$  blocks  $E(\delta_2)$ , E is as (87) and  $\delta_2 = \max(\delta, \delta_1)$ . Each block  $E(\delta_2) \in \bar{\omega}(\mathcal{F}_3) \cap \bar{\omega}(\mathcal{F}_2)$  is an union of at least  $(r - d_{13} - d_{12})$  blocks of

the form  $B^t \stackrel{r}{\times} e_k^{(s)}$ ,  $k \in \mathbb{Z}_n$ ,  $s \in S$ ,  $\#S = r - d_{13} - d_{12}$ . Using the same arguments as before we get that

(88) 
$$\begin{cases} \bar{\omega}(\mathcal{F}_3) \cap \bar{\omega}(\mathcal{F}_2) \cap \bar{\omega}(\mathcal{F}_1) \text{ is an union} \\ \text{ of at least } (r - d_{13} - d_{12} - d_{11}) \text{ blocks of the form } B^{t-1} \stackrel{r}{\times} e_k^{(s)}, \\ s \in S_1, \ \#S_1 = r - d_{13} - d_{12} - d_{11}. \end{cases}$$

Denoting  $P(\omega_1, \omega)$  the density of a subsequence  $\omega_1$  in  $\omega$  and using (69),(86), (88) we have

$$P(\bar{\omega}(A_1,\ldots,A_{d_1}),\omega) \ge P(\bar{\omega}(\mathcal{F}_3) \cap \bar{\omega}(\mathcal{F}_2) \cap \bar{\omega}(\mathcal{F}_1),\omega)$$

$$\geq (1 - \frac{d_{13} + d_{12}}{r})(1 - \frac{d_{11}}{r})(\frac{1}{4nr})^2(1 - \delta_2)$$

 $\geq (1 - \frac{1}{r})^2 (\frac{1}{4nr})^2 (1 - \delta_2) \geq (1 - \frac{1}{r})^2 (\frac{1}{4nr})^2 \frac{1}{2}.$ 

If  $T_1 \neq \{1, \ldots, x\}$  then we repeat the above reasoning to the subsequence  $\bar{\omega}(\mathcal{F}_3) \cap \bar{\omega}(\mathcal{F}_2) \cap \bar{\omega}(\mathcal{F}_1)$  and  $t = \tau_2$ , and so on. As a consequence we get

$$P(\bar{\omega}(A_1,\ldots,A_x),\omega) \ge (1-\frac{1}{r})^{2r}\frac{1}{2^r}(\frac{1}{4nr})^{2r}.$$

This implies  $r(T_{\varphi}) > r - 1$ . Thus we have shown  $r(T_{\varphi}) = r$ .

## 4.4 $r(T_{\varphi}) = r$ : the case 3.1.

To prove that  $r(T_{\varphi}) = r$  in the case 3.1 we can repeat the same arguments an in 4.3. Similarly as in the Theorem 4 we consider blocks  $A_u$ ,  $u = 1, \ldots, x$ ,  $x \leq r - 1$ , and  $A_u$  are as in (82),  $C_u = b^t \times \overline{C}_u$  but  $\overline{C}_u$  are as in A), B') and G1), G2), G3), G4).

As an analogue of the Propositions 13-15 and Theorem 4 we obtain

**Proposition 13'** Assume that C is as in B') and let  $d(C, D) < \delta^2, D \subset \omega_t$ . Then D has a form (75), and  $\overline{D} = b_{k'_{i_0}}(i_0)..b_{k'_{r-1}}(r-1) | b_{u'_0}(0)..b_{u'_{r-1}}(r-1) | b'_{v_0}(0)..b_{v'_{r-1}}(r-1) | b_{l'_0}(0)..b_{l'_{i_1}}(i_1), b_k(i) = b_k^{t+1}(i), and j satisfies either (76) or (77).$ 

**Proposition 14'** Let C be as in the Proposition 14,  $\overline{C}$  is as in (73) and  $\overline{C}_2$  is as in B'). Then we get g1),g2), g3) or g4).

The proofs of the Propositions 13' and 14' are similar to the proofs of the Propositions 13 and 14.

**Proposition 15'** Let  $\mathcal{F} = \{C_1, \ldots, C_d\}, d \leq r - 1, C_j = b^t \stackrel{r}{\times} \overline{C}, and C_j are as in B').$ Then we have the same thesis as in the Proposition 15.

**Proof.** Let  $\mathcal{F}_{i,k}$ ,  $i = 0, \ldots, r-2$ ,  $k \in \mathbb{Z}_n$ , be the set of all blocks  $C \in \mathcal{F}$  such that  $\overline{C}$   $(C = b^t \stackrel{r}{\times} \overline{C}) \delta^2$ -covers a subblock of  $\omega_{t+1}$  containing one of the form  $b_k^{t+1}(i)b_{k+1}^{t+1}(i+1)$ . By  $\mathcal{F}_{r-1,k}^{(1)}, \mathcal{F}_{r-1,k}^{(2)}$  we denote those  $C \in \mathcal{F}$  such that  $\overline{C}$  does so for the pairs  $b_k^{t+1}(r-1)b_k^{t+1}(0)$  or  $b_k^{t+1}(r-1)b_{k+1}^{t+1}(0)$  respectively.

Using the Propositions 3 and 7 we get that

(89)  $\begin{cases} \text{if } C \in \mathcal{F}_{i,k} \text{ then } \bar{C} \, \delta^2 \text{-covers } (\text{up to } \delta r^2 2^{r+3} \lambda_{t+1}) \text{ only those} \\ \text{fragments of } \omega_{t+1} \text{ containing blocks of the form} \\ (89') \quad b^{t+1} \stackrel{r}{\times} \bar{e}_k(i+1) \text{ or } b^{t+1} \stackrel{r}{\times} \bar{e}_{k+4}(i), \text{ if } n \geq 3, \\ and \\ (89'') \quad b^{t+1} \stackrel{r}{\times} \bar{e}_k(i+1) \text{ if } n = 2, \end{cases}$ 

whenever  $i = 0, \ldots, r - 2, k \in \mathbb{Z}_n$ . Using the Propositions 4 and 7 we get that

(90) 
$$\begin{cases} \text{if } C \in \mathcal{F}_{r-1,k}^{(1)} \text{ then } \bar{C} \, \delta^2 \text{-covers only those fragments} \\ \text{of } \omega_{t+1} \text{ containing blocks of the form} \\ (90') \quad b_k^{t+1}(r-1)b_k^{t+1}(0) \text{ or } b_l^{t+1}(r-1)b_{l+1}^{t+1}(0), \\ l \text{ satisfies (54)}, \end{cases}$$

and

(91) 
$$\begin{cases} \text{if } C \in \mathcal{F}_{r-1,k}^{(2)} \text{ then } \bar{C} \, \delta^2 \text{-covers only those fragments} \\ \text{of } \omega_{t+1} \text{ containing blocks of the form} \\ (91') \quad b_k^{t+1}(r-1)b_{k+1}^{t+1}(0) \text{ or } b_l^{t+1}(r-1)b_l^{t+1}(0), \\ l \text{ satisfies (54).} \end{cases}$$

Now notice that each two blocks  $b^{t+1} \stackrel{r}{\times} e_k^{(i)}$  and  $b^{t+1} \stackrel{r}{\times} e_{k'}^{(i)}$ ,  $k' \in \mathbb{Z}_n$ ,  $k \neq k'$ , appearing in  $\omega_{t+1}$  are separated by at least three blocks of the form  $b^{t+1} \stackrel{r}{\times} e_{k+1}$ . This, (89) and the condition |E| < 4r (see B')) imply that  $\mathcal{F}_{i,k} \cap \mathcal{F}_{i,k'} = \emptyset$ , if  $k \neq k'$ ,  $i = 0, \ldots, r-2$ . Similarly  $\mathcal{F}_{r-1,k}^{(1)} \cap \mathcal{F}_{r-1,k'}^{(1)} = \emptyset$  and  $\mathcal{F}_{r-1,k}^{(2)} \cap \mathcal{F}_{r-1,k'}^{(2)} = \emptyset$ , if  $k \neq k'$ .

Further (89) implies that if  $C \in \mathcal{F}_{i,k} \cap \mathcal{F}_{i',k'}$  then i' = i + 1, k' = k + 4 if  $n \geq 3$ ((89')) and i' = i, k' = k if n = 2 ((89")),  $i = 0, \ldots, r - 2$ . (90) implies that if  $C \in \mathcal{F}_{r-1,k}^{(1)} \cap \mathcal{F}_{r-1,k'}^{(2)}$  then k' = l, l satisfying (54). Combining the above arguments we get that there is at least  $\frac{rn}{2} - d$  fragments of  $\omega_{t+1}$  of the form (89') and (90) or (91) that are not covered by the family  $\mathcal{F}$ . The Proposition follows because  $\frac{rn}{2} \geq r$ .

#### **Theorem 4'** $r(T_{\varphi}) = r$ .

**Proof.** We repeat the same reasoning as in the proof of the Theorem 4 using blocks  $A_1, \ldots, A_x$  of the form (82) with  $q \ge 3$ . We use the Proposition 7 instead of the Proposition 11 and the Propositions 14' and 15' instead of the Propositions 14 and 15. The using (67) instead of (68) we get

$$P(\bar{\omega}(A_1,\ldots,A_x),\omega) \ge (1-\frac{1}{r})^{2r} \frac{1}{2^r} (\frac{1}{rn2^{r+1}})^{2r},$$

what implies  $r(T_{\varphi}) > r - 1$  and by Proposition 12 we have  $r(T_{\varphi}) = r$ .

## 5 Pairs $(r, \infty)$ or $(\infty, m)$ .

In this part we construct group extensions  $(X \times G, T_{\varphi})$  such that  $r(T_{\varphi}) = r$ ,  $q(T_{\varphi}) = \infty$ ,  $2 \le r < \infty$  or  $r(T_{\varphi}) = \infty$ ,  $q(T_{\varphi}) = m$ ,  $1 \le m < \infty$ .

#### 5.1 The case $(r, \infty)$ .

Take a sequence  $\{s_t\}_{t=0}^{\infty}$ ,  $s_{t+1} = \mu_{t+1}s_t$ ,  $s_0 = \mu_0$ ,  $\mu_t \ge 2$  for  $t \ge 0$  and let G be the group of  $\{s_t\}$ -adic integers. Let  $e = 1 + 0s_1 + 0p_2 + \dots$  The set of all  $\{s_t\}$ -adic rational integers of G coı̈ncides with the set  $\{e_n, n \in Z\}$ , where  $e_n = ne$ . Similarly as in the case 3.1 we define an adding machine  $(X, \mathcal{B}, \mu, T)$  and a cocycle  $\varphi : X \longrightarrow G$ . To do this we define blocks  $F^{(0)}, F^{(1)}, \dots, F^{(r-1)}$   $(r \ge 2$  is given) over G. Put

Fut  $\begin{aligned}
r(2^{i+1}-1) & r \\
F^{(i)}(t) &= F^{(i)} = \underbrace{0 \dots 0}_{0 \dots 0} \underbrace{0 \dots 0e0 \dots 0}_{0 \dots 0e0 \dots 0}, \quad i = 0, \dots, r-1 \\
H^{(i)} &= F^{(i)}F_e^{(i)} \dots F_{(s_t-1)e}^{(i)}. \\
\text{Then } |H^{(i)}| &= s_t r 2^{t+1}. \text{ Next define } b^t(0), \dots, b^t(r-1) \text{ as in 3.1 and} \\
b^t &= b^t(0) \dots b^t(r-1), \ t \ge 0. \\
\text{We have}
\end{aligned}$ 

$$\lambda_t = |b^t(i)| = s_t r 2^{r+t+1}, \ i = 0, \dots, r-1$$

and

$$|b^t| = s_t r^2 2^{r+t+1}$$

Then we define the blocks  $B^t$ ,  $t \ge 0$  by (14). We have  $p_t = |B^t| = s_0 \dots s_t r^{2t} 2^{r+1} (2^{t+1} - 1)$ . Let  $(X, \mathcal{B}, \mu, T)$  be the  $\{p_t\}$ -adic adding machine and define a cocycle  $\varphi : X \longrightarrow G$  by (18).

**Theorem 5**  $r(T\varphi) = r$  and  $q(T_{\varphi}) = \infty$ 

**Proof.** Let  $\Pi_t : G \longrightarrow Z/s_t Z$  be the natural group homomophism. We can define cocycles  $\varphi_t : X \longrightarrow Z/s_t Z$  by  $\varphi_t = \varphi \circ \Pi_t$ . It is evident that  $\varphi_t$  is a *r*-Toeplitz cocycle as in 3.1 defined by the blocks  $\Pi_t(B_k), u \ge 0$ . According to Theorems 2 and 4 we have  $r(T_{\varphi_t}) = r$  and  $q(T_{\varphi_t}) = s_t$ . It follows from the definitions of  $\varphi$  and  $\varphi_t$  that the dynamical system  $(X \times G, T_{\varphi})$  is the inverse limit of the systems  $(X \times Z/s_t Z, T_{\varphi_t})$ . Then from the definition of the rank we obtain  $r(T_{\varphi}) = r$ . It is proved in Theorem 2 that  $\sigma_{je} \notin wcl\{T_{\varphi_t}^n, n \in Z\}$  if  $j = 0, \ldots, s_t - 1, t \ge 0$ . This means that  $\sigma_{je} \notin wcl\{T_{\varphi}^n, n \in Z\}$  for every  $j \in Z, j \neq 0$  which implies  $q(T_{\varphi}) = \infty$ .

#### 5.2 The case $(\infty, m)$ .

First consider the case  $m \ge 2$ . Let  $r_t = 2^{t+1}$ ,  $t \ge 0$  and define blocks  $F^{(i)} = F^{(i)}(t)$ over G = Z/mZ,  $i = 0, \ldots, r_{r+1} - 1$  as follows:

$$F^{(i)} = \underbrace{\overbrace{0\dots0}^{2^{i+1}r_t} \overbrace{0\dots0}^{r_{t+1}} 0\dots0}_{i+1} \cdots 0,$$
$$H^{(i)} = F_0^{(i)}F_1^{(i)}\dots F_{m-1}^{(i)} \quad i = 0,\dots,r_{t+1} - 1$$

1.

1.

We have  $|H^{(i)}| = mr_t 2^{i+3}$ . Next define  $b^t(0), \ldots, b^t(r_{t+1}-1), b^t, B^t$  by putting

$$b^{t}(i) = \overbrace{H^{(i)}H^{(i)}\dots H^{(i)}}^{x}, x = 2^{t+r_{t+1}-i-1}$$
$$b^{t} = b^{t}(0)b^{t}(1)\dots b^{t}(r_{t+1}-1), \text{ and}$$
$$B^{t} = b^{0} \stackrel{r_{0}}{\times} b^{1} \stackrel{r_{1}}{\times} \dots \stackrel{r_{i-1}}{\times} b^{t}.$$

Then  $\lambda_t = |b^t(i)| = m2^{2t+\rho+2}, \rho = r_{t+1}$  and  $p_t = m_t r_{t+1}, m_t = \lambda_0 \dots \lambda_t$ . We define a cocycle  $\varphi: X \longrightarrow G$  by

$$\varphi(x) = B^t[j+1] - b^t[j]$$

if  $x \in D_j^t$  except if  $j = m_t - 1, \ldots, p_t - 1$ . The cocycle  $\varphi$  is constant on the levels  $D_j^t$  except of  $r_{t+1}$  consecutive levels.

In a similar way we construct a cocycle  $\varphi$  if m = 1. Take n as in the case 3.2 and define

$$F^{(i)}(t) = F^{(i)} = \underbrace{0 \dots 0}_{i+1} \underbrace{0 \dots 0}_{i+1} \underbrace{1 \dots 0}_{i+1} \\ H^{(i)} = F_0^{(i)} F_1^{(i)} \dots F_{n-1}^{(i)}, \quad i = 0, 1, \dots, r_{t+1} - 1$$

The next steps of the definition  $\varphi$  are the same as in the case  $m \geq 2$ .

**Theorem 6**  $r(T_{\varphi}) = \infty, q(T_{\varphi}) = m$  and  $wcl\{T_{\varphi}^n, n \in z\}$  is uncountable.

**Proof.** For the dynamical system  $(X \times G, T_{\varphi})$  we can use the same arguments as in the parts 3 and 4 taking  $r_t$  instead of r. The Theorems 2,3 and 3' are valid. To estimate the rank of  $T_{\varphi}$  we use the shift representations  $(\Omega \omega, T_{\sigma})$  of  $(X \times G, T_{\varphi})$  where  $\omega = b^0 \stackrel{r_0}{\times} b^1 \stackrel{r_1}{\times} \dots$  Repeating the proof of the Theorem 4 and 4' we get  $r(T_{\varphi}) > r_t - 1$ for every  $t \ge 0$ . Thus  $r(T_{\varphi}) = \infty$ .

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